

Calorimetry: Requirements and Technology Challenges

17th Terascale Detector Workshop

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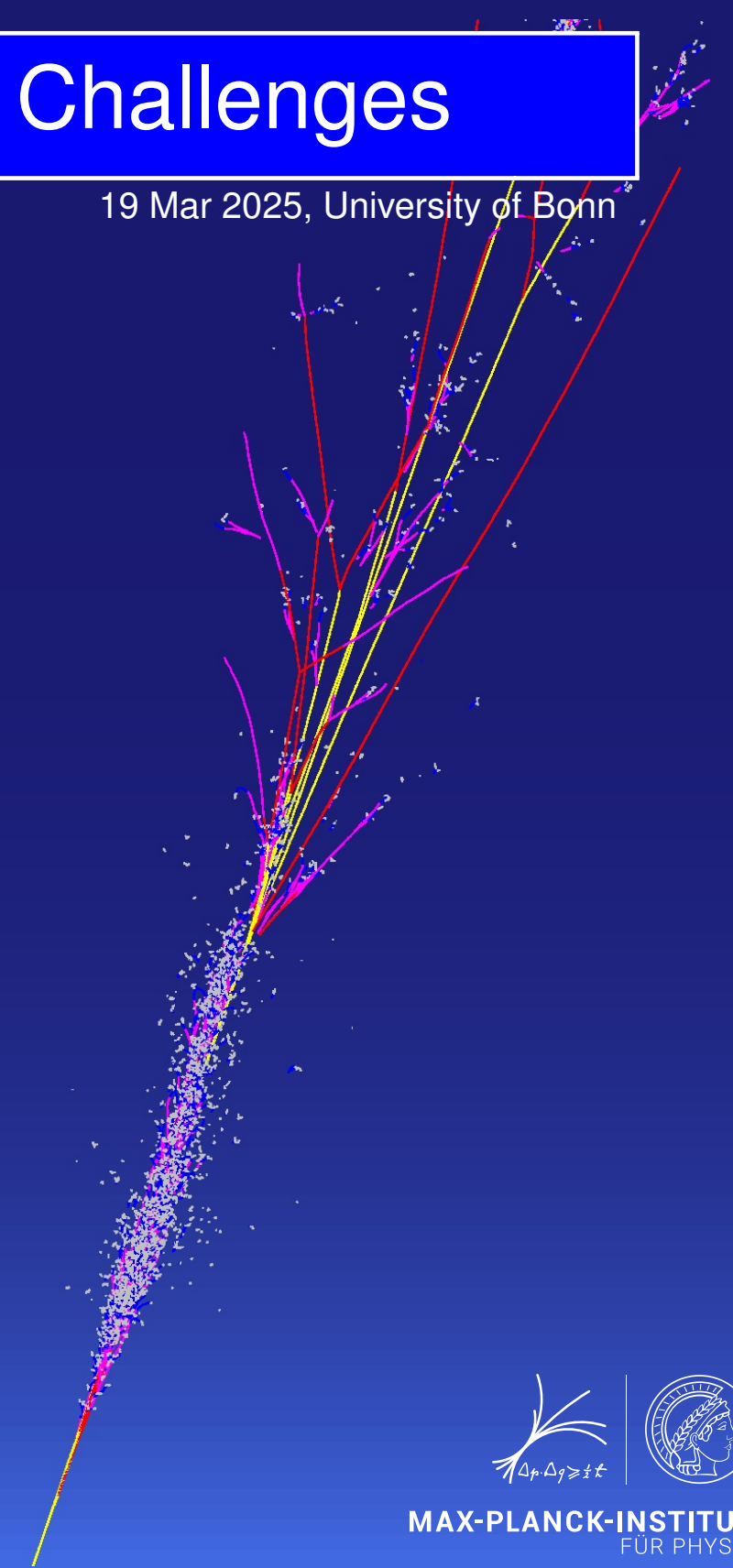
► Introduction

- Calorimetry
- Electromagnetic showers
- Hadronic showers

► Calorimetry at the FCC-ee

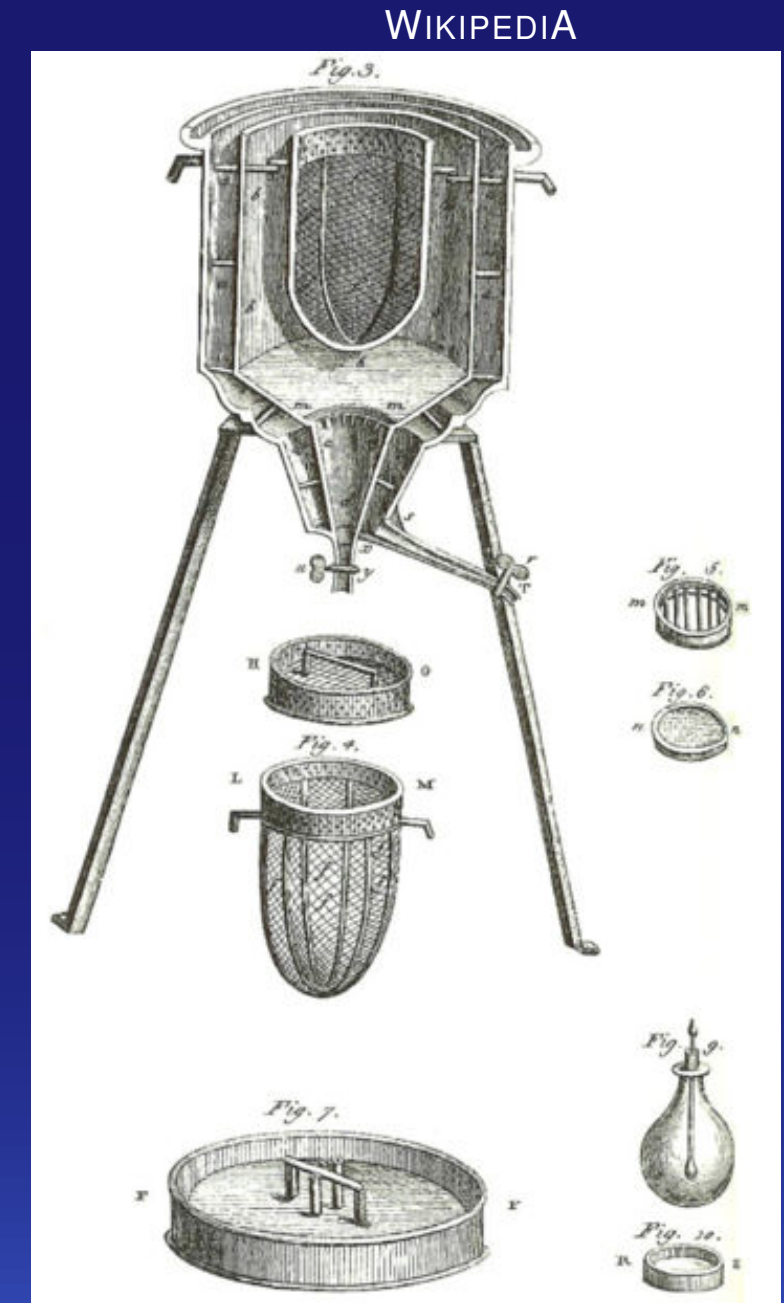
- FCC-ee physics and requirements
- Detectors
 - CLD
 - IDEA
 - ALLEGRO
- Calibration

► Conclusions



MAX-PLANCK-INSTITUT
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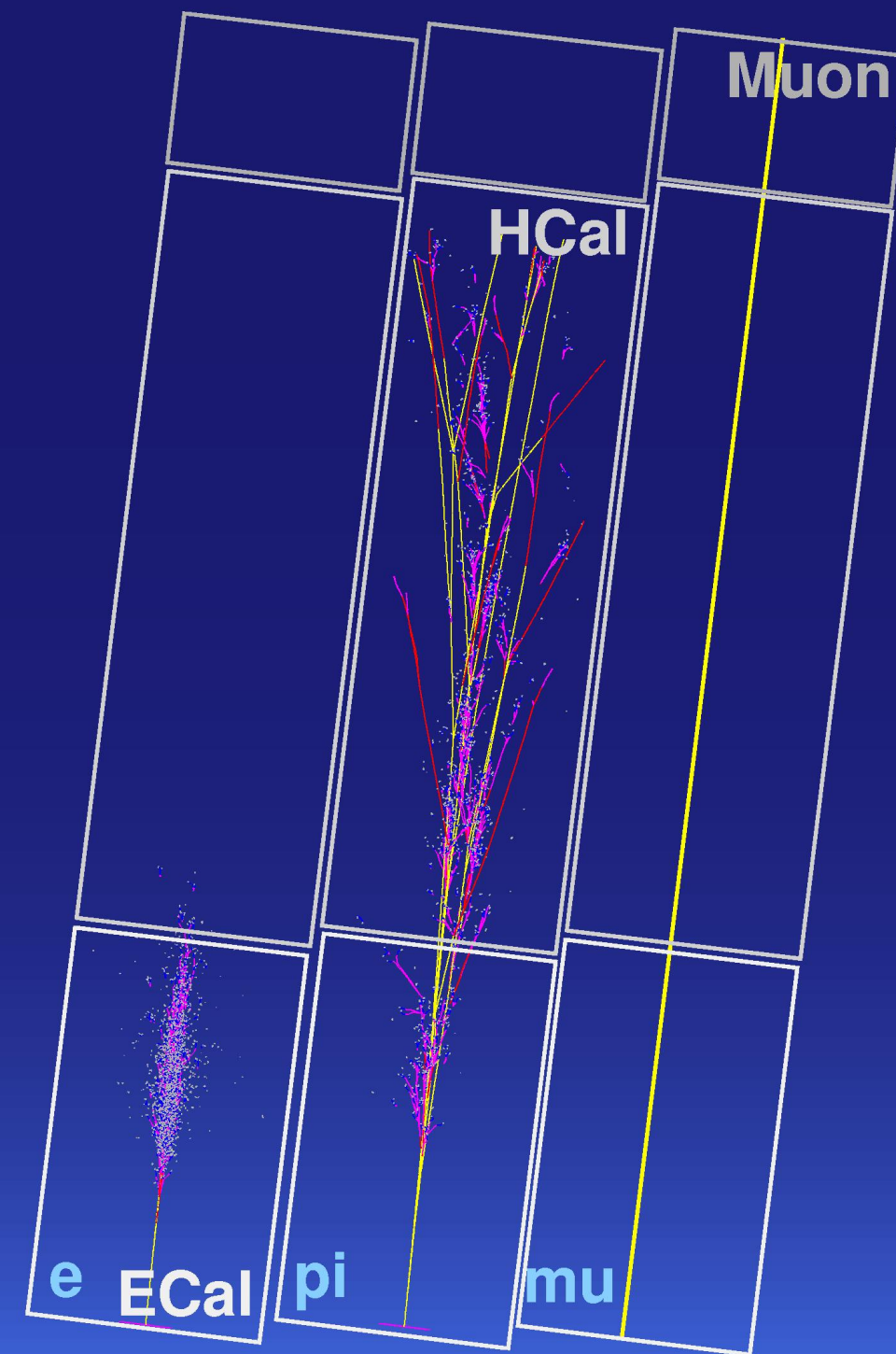
- What is a calorimeter?
 - If you ask this question on WIKIPEDIA you get to see devices like the one shown here from 1782 by A. Lavoisier and P.-S. Laplace
 - i.e. devices to measure the heat of chemical reactions or physical changes or to measure heat capacity
- Not quite what we do in particle physics ...



Ice-calorimeter from Antoine Lavoisier's 1789 Elements of Chemistry

- What is a calorimeter in high energy particle physics?
- In our experiments we want a calorimeter to measure:
 - the energy of a final state particle in a destructive way
 - thereby stopping the particle from entering detectors further out from the interaction region
 - where that particle is depositing its energy
 - to combined it with other particles and measurements
 - the type of the particle
 - electro-magnetic, hadronic or muonic
 - when that particle deposited its energy
 - to exclude reactions from background processes and to detect new long-lived particles
- Only one requirement (the first about the energy) has actually to do with heat
 - still, we don't actually measure the heat increase by the particle ...
- All other requirements mean that we can not exclusively optimise for energy resolution
 - still, we want to have the best energy measurement that does not compromise the other goals
- All the requirements are interdependent

- ▶ electrons and photons are detected in the first part of the calorimeter (the electromagnetic calorimeter) by their electromagnetic showers
 - electrons also leave a track in the inner detector
- ▶ charged pions, neutrons and protons cause hadronic showers and are detected in both, the first (electromagnetic) and second (hadronic) part of the calorimeter
 - the charged pions and protons also leave a track in the inner detector
- ▶ muons do not initiate a shower and deposit ionising energy only, without being destroyed (stopped)
 - leave a track in the inner detector
 - and reach beyond the calorimeter to leave tracks in the muon system
- ▶ neutrinos do not interact (sufficiently) and escape
- ▶ Image shows simulation of three 50 GeV particles (electron, charged pion and muon) hitting steel
 - with all charged particles coloured proportional to their energy



Introduction ► Electromagnetic showers

► Simplified electromagnetic shower model (Rossi)

- an electron with energy E_0 hits the calorimeter
- each electron/positron radiates a bremsstrahlung-photon after exactly one radiation length with half its energy
- each photon converts after one radiation length in a e^+e^- -pair with half the photon energy each
- after t radiation length the shower contains 2^t particles (e^+ , e^- , γ with roughly similar abundances) each with the energy $E_0 / 2^t$
- once the energy falls below a critical threshold $E_0 / 2^t < E_c$ the shower terminates
 - energy loss becomes larger than losses from Bremsstrahlung
 - for photons Compton-scattering becomes larger than pair-production
- $t_{\max} = \ln(E_0 / E_c) / \ln 2$
 - the shower depth is proportional to the logarithm of the original energy E_0

► $E_c \simeq O(10 \text{ MeV})$ for typical calorimeter materials

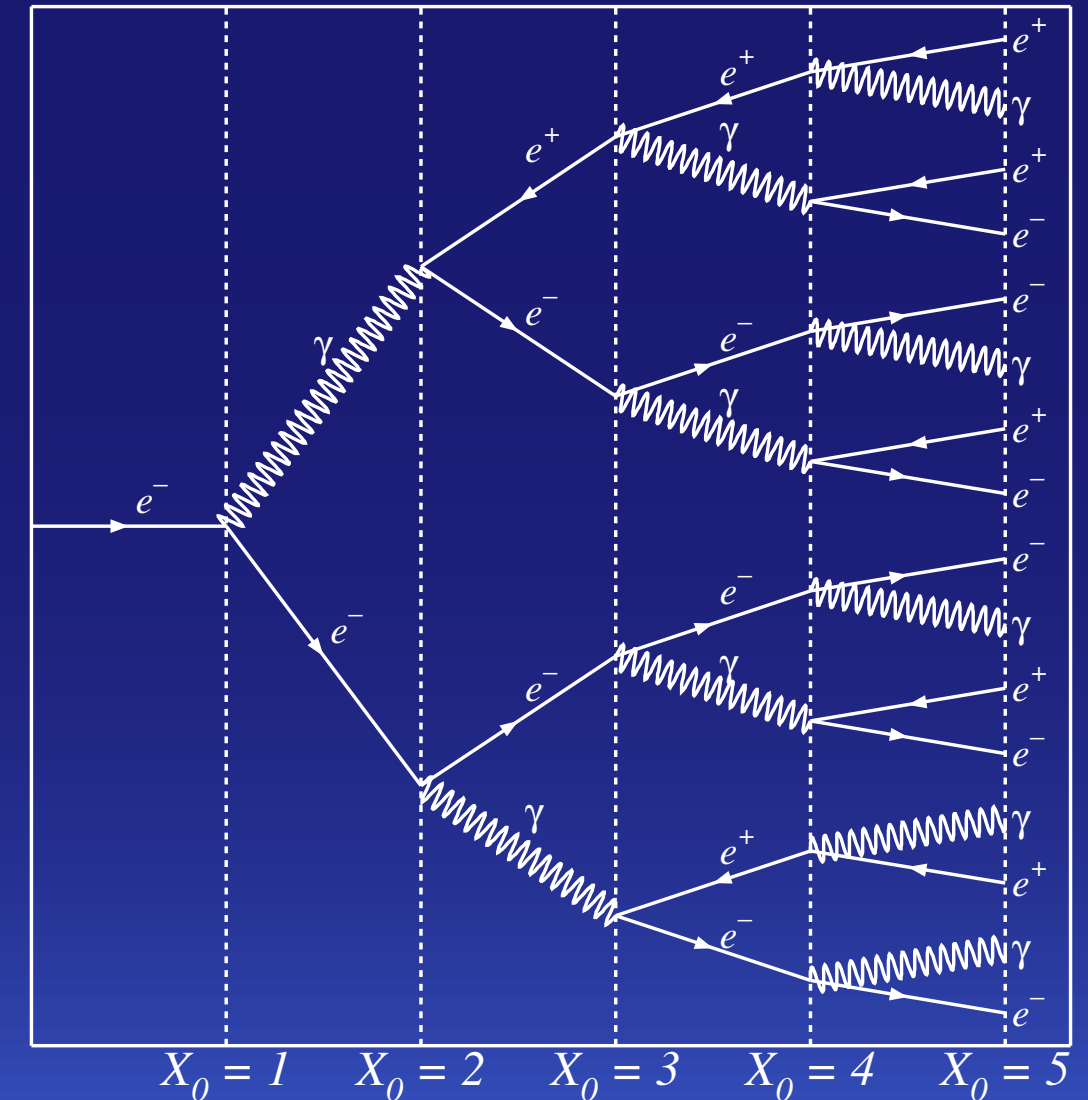
► an em-shower with $E_0 = 100 \text{ GeV}$ has $E_0 / E_c = 10000$ particles

- number of particles at shower maximum is proportional to energy E_0

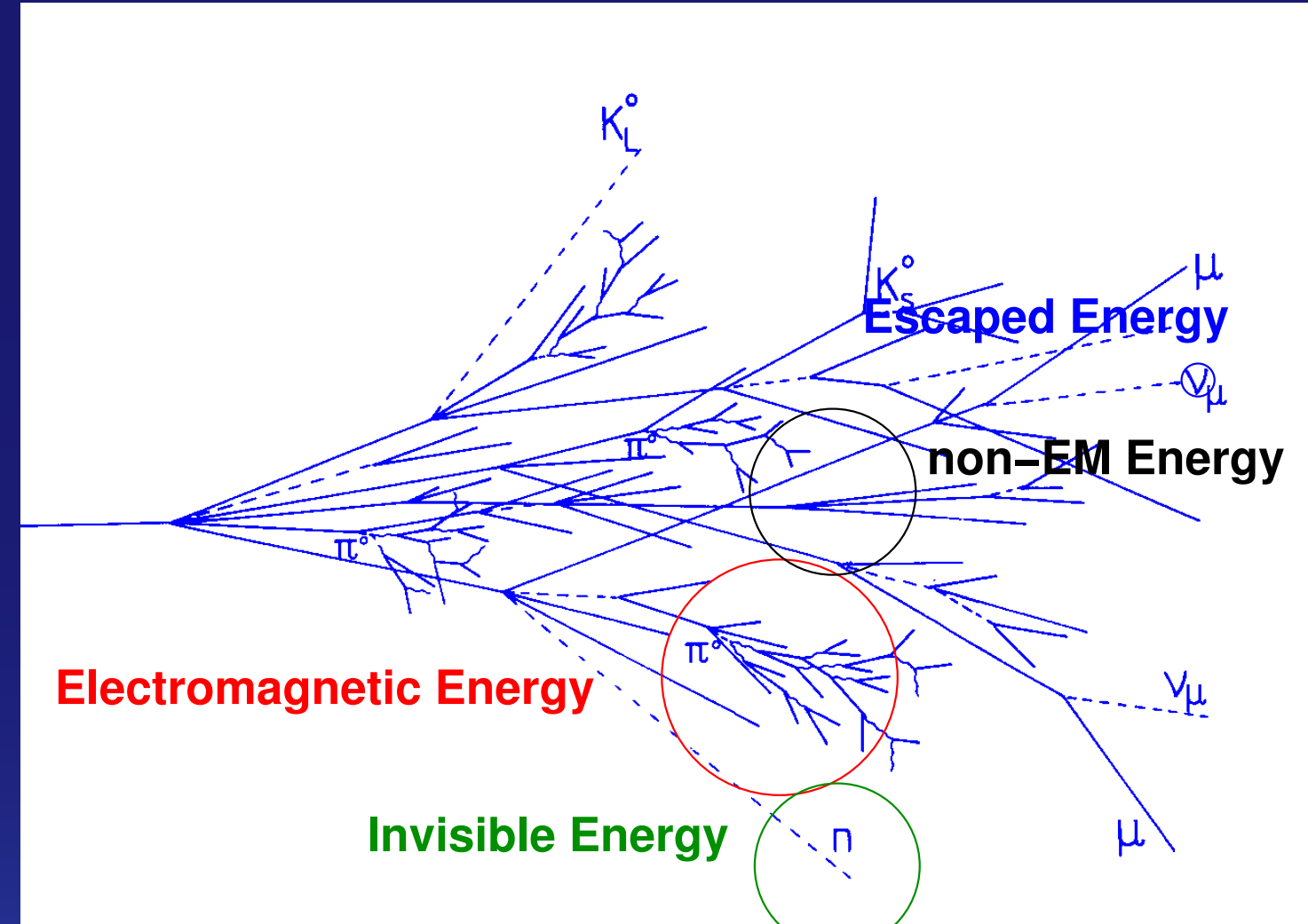
► its shower maximum is located at a depth of

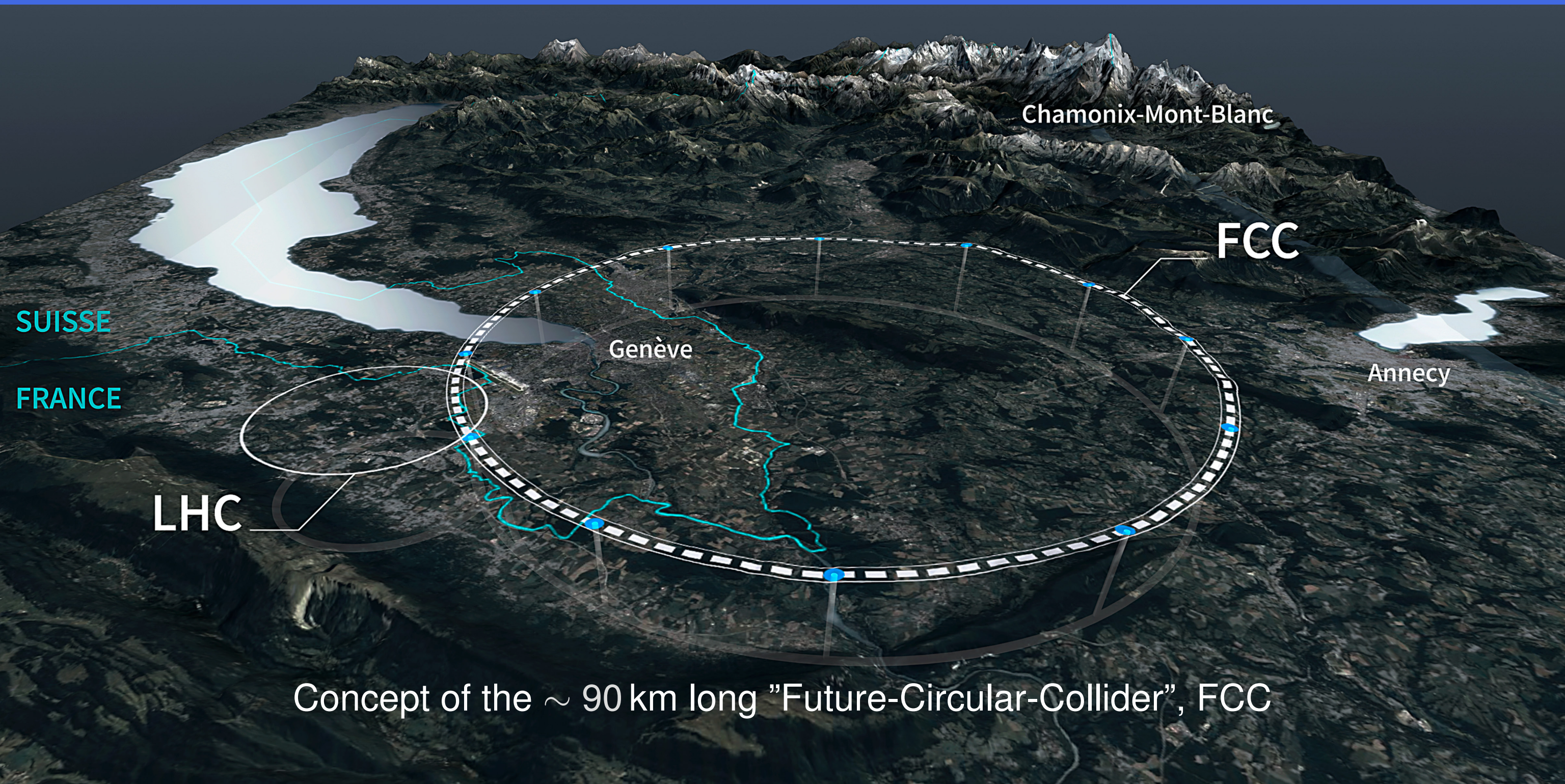
$$t_{\max} X_0 = X_0 \ln(E_0 / E_c) / \ln 2 = 13.3 X_0$$

- the shower depth grows proportional to $\ln E_0$



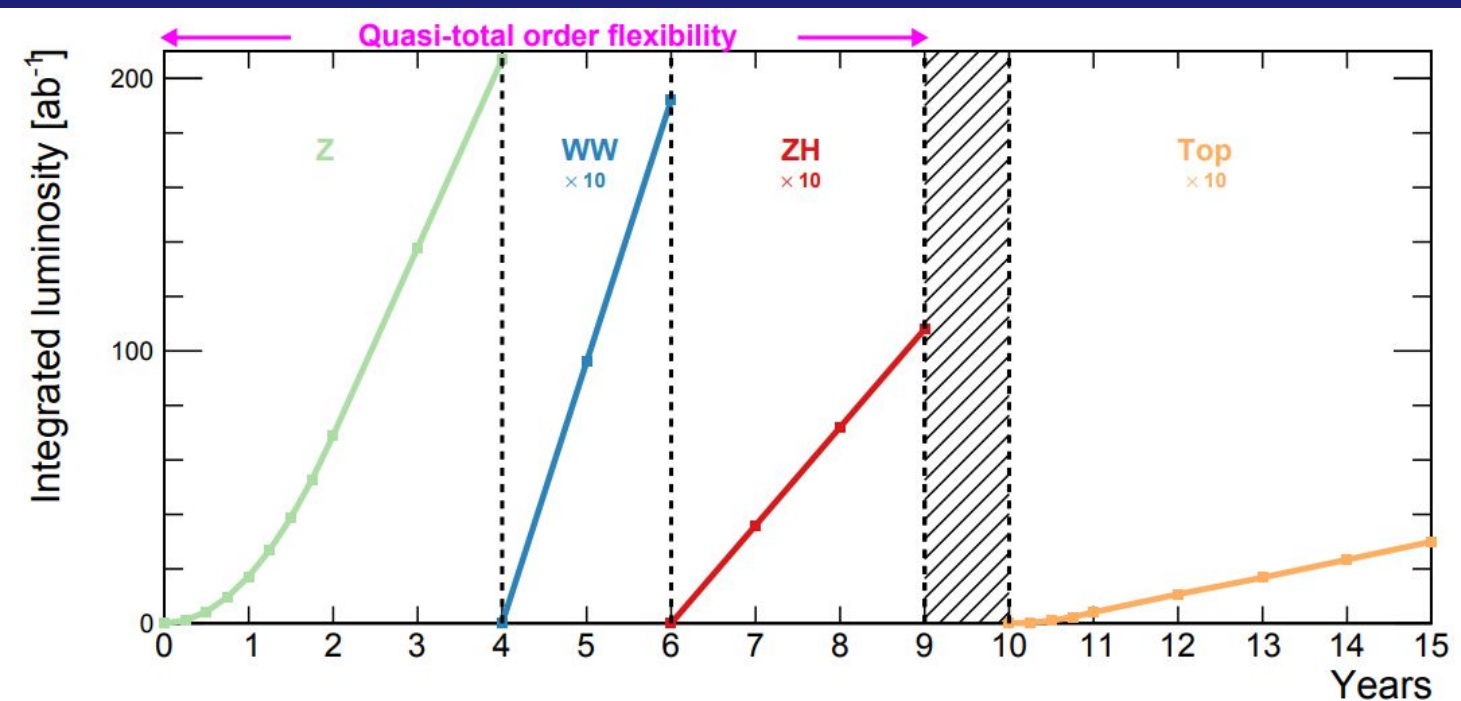
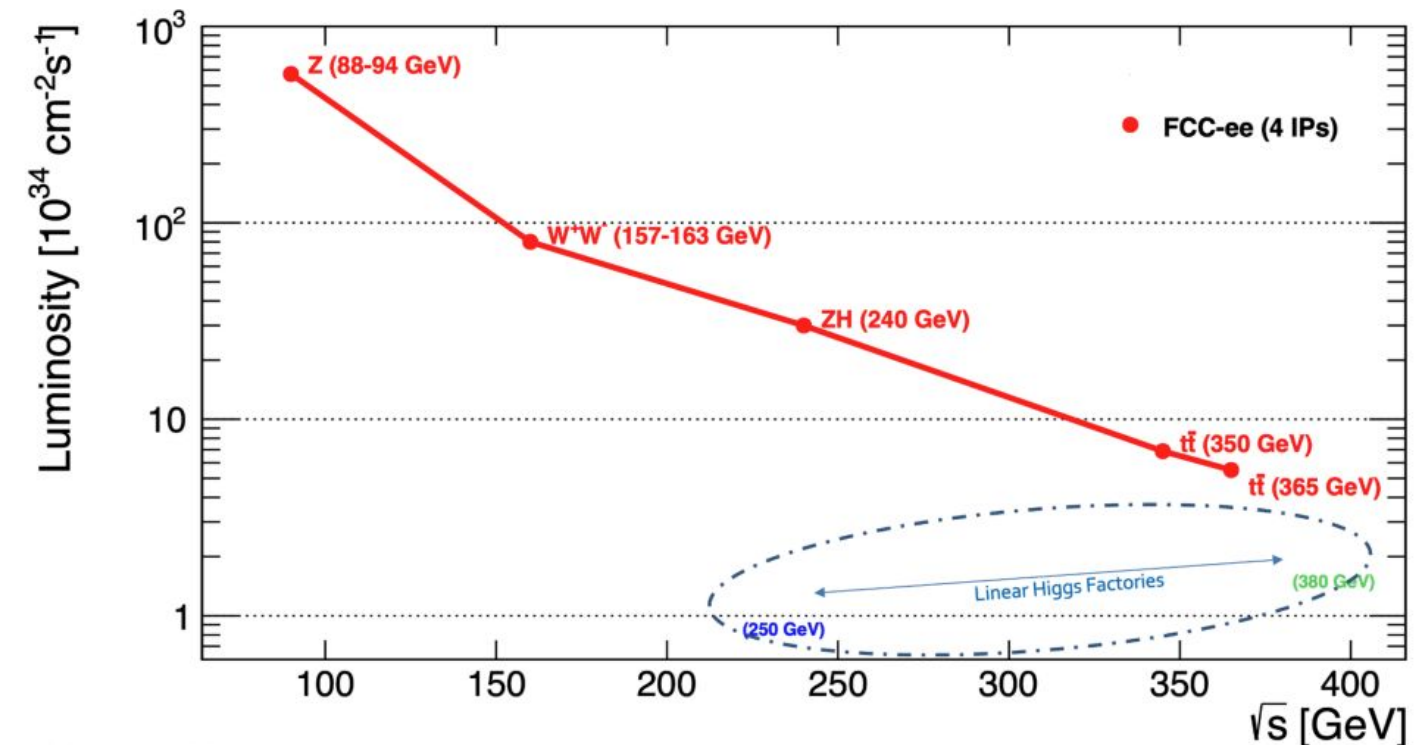
- In hadronic showers the nuclear interaction length (λ) takes the role the radiation length (X_0) has for em showers
 - $\lambda \simeq O(10) X_0$ for typical calorimeter materials
- A hadronic shower consists of
 - EM energy (e.g. $\pi^0 \rightarrow \gamma\gamma$) $O(50 \%)$
 - visible non-EM energy (e.g. dE/dx from π^\pm, μ^\pm , etc.) $O(25 \%)$
 - invisible energy (e.g. breakup of nuclei and nuclear excitation) $O(25 \%)$
 - escaped energy (e.g. ν) $O(2 \%)$
- each fraction is energy dependent and subject to large fluctuations
- invisible energy is the main source of the non-compensating nature of hadron calorimeters
- need to account for this either by construction (hardware compensation) or by hadronic calibration



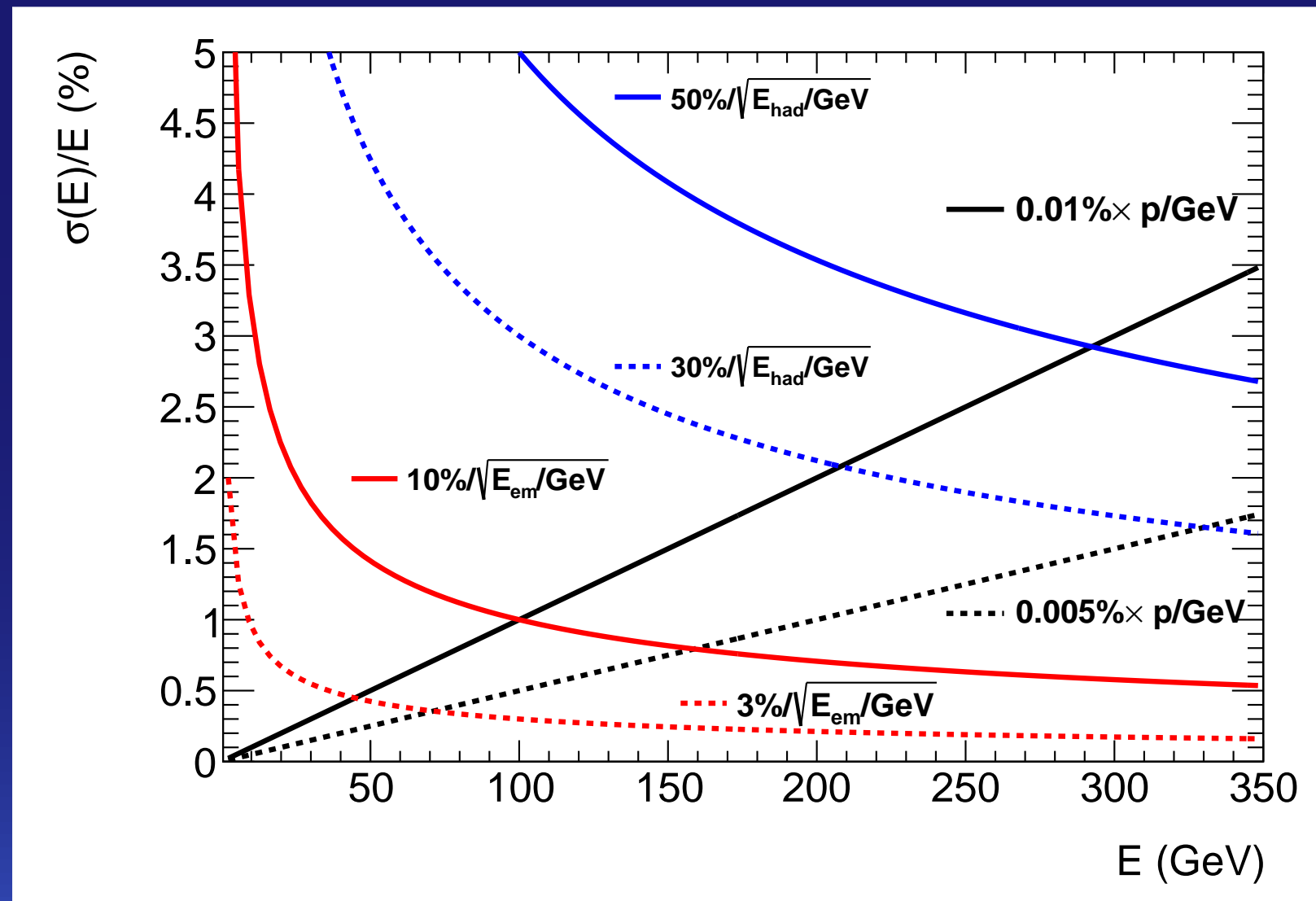


Concept of the ~ 90 km long "Future-Circular-Collider", FCC

- ~ 90 km circular e^+e^- -collider
- 4 interaction regions
- physics at the Z-pole, WW-, ZH- and $t\bar{t}$ -threshold
 - 6×10^{12} Z
 - 2.4×10^8 WW
 - 1.5×10^5 ZH
 - 2×10^6 $t\bar{t}$
- highest lumi at the Z with $O(100$ kHz) trigger rate
 - entire LEP-1 program ($O(6$ M) hadronic Z for each experiment) every ~ 2 minutes



- e^+e^- -collisions mean moderate energy
 - up to $O(100 \text{ GeV})$, not several TeV as for LHC
- Improving on the LEP-results for Z-physics and measuring the Higgs-couplings requires extremely high precision measurements
 - design detectors with ParticleFlow in mind
 - use tracker for any charged particle with $E < O(50 \text{ GeV})$
 - be able to separate the calorimeter signals from these charged particles from the neutral (hadronic) deposits
 - need high transverse and longitudinal granularity in the calorimeters
 - separating γ from $\pi^0 \rightarrow \gamma\gamma$ is needed for example for hadronic τ -decays
 - need even higher transverse granularity in the em-calorimeter
- With trigger rates of $O(100 \text{ kHz})$ at the Z-pole, the readout does not need to be particularly fast
 - but bunch crossings are only $O(20 \text{ ns})$ apart
 - so, still need accurate time resolution



Run:event 5060: 1004 Ctrk(N= 31 Sump= 72.4) Ecal(N= 50 SumE= 41.8)
Ebeam 45.619 Vtx (0.03, 0.06, 0.77) Hcal(N=26 SumE= 23.4) Muon(N= 2)



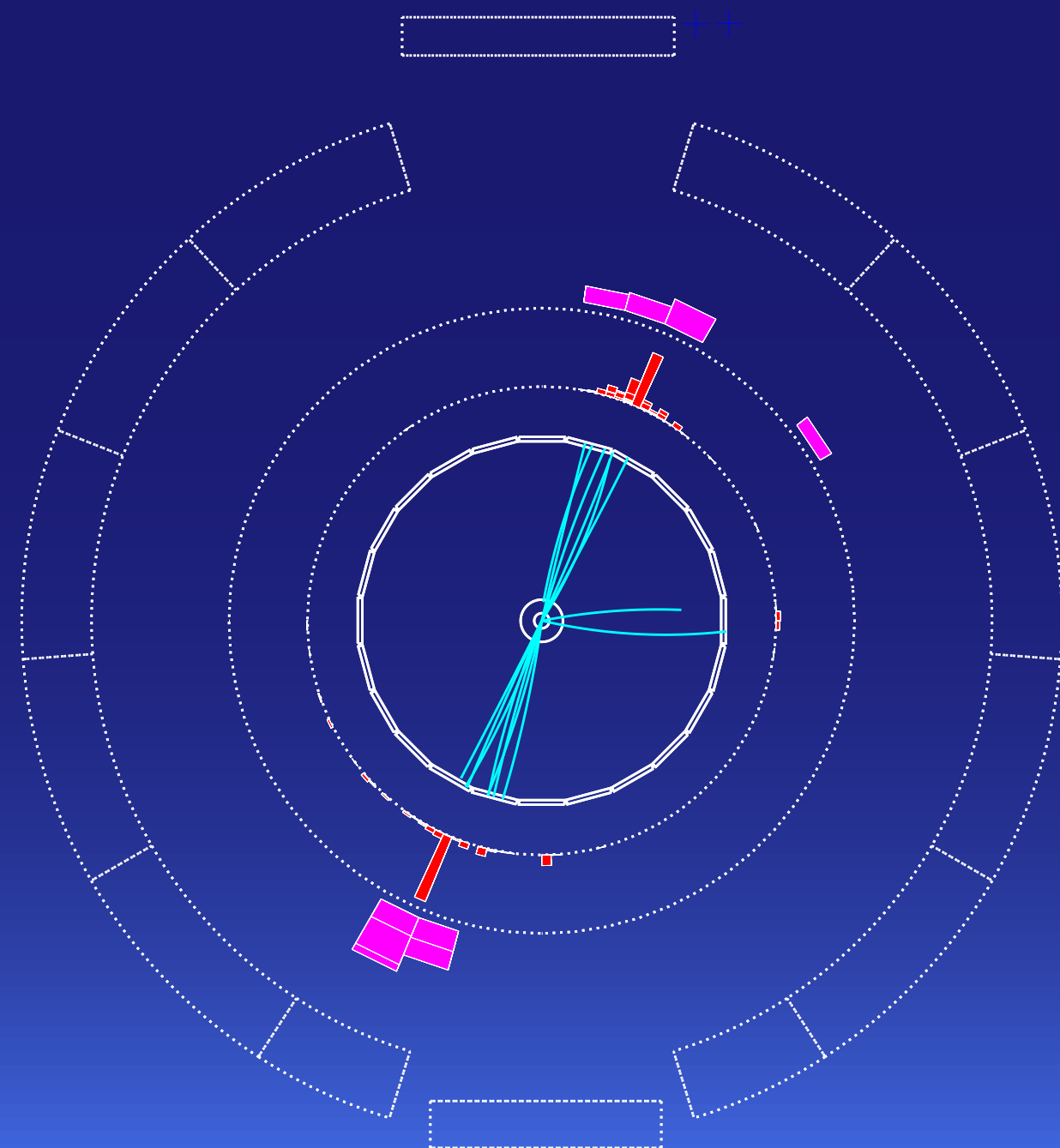
► $Z^0 \rightarrow$ hadrons

- ~ 33 particles
- ~ 20 of them charged
- ~ 10 neutral pions (and other mesons decaying directly to $\gamma\gamma$)
- ~ 3 neutral hadrons (n, K_L)

► distributing the energy evenly over them in two jets

- $E_{\text{jet}} = (60.6\%_{\text{track}} + 30.3\%_{\text{em}} + 9.1\%_{\text{had}})E_{\text{jet}}$
- $E_{\text{jet}} = 10 \times 2.76 \text{ GeV}_{\text{track}} + 13.82 \text{ GeV}_{\text{em}} + 4.15 \text{ GeV}_{\text{had}}$
- $\Delta E_{\text{jet}} / E_{\text{jet}} = 0.005\% \oplus 0.8\% \oplus 2.2\% = \mathbf{2.4\%}$ with **ParticleFlow** compared to
- $\Delta E_{\text{jet}} / E_{\text{jet}} = 0.8\% \oplus 6.2\% = \mathbf{6.2\%}$ without
- $\sqrt{2} \times 2.4\% = 3.4\%$ Z-mass resolution (vs. $\sqrt{2} \times 6.2\% = 8.8\%$)

► Image shows $Z \rightarrow$ hadrons event from OPAL (LEP-1, not a **ParticleFlow** optimised detector)



- $\tau \rightarrow \nu_\tau + \text{hadrons}$
 - 1, 3 or 5 charged pions (or kaons)
 - 0 - 4 neutral pions
- need to separate the final states according to number of neutral pions
 - even number of charged + neutral pions: **vector** current
 - odd number of charged + neutral pions: **axial-vector** current
- channel cross-feed for $\tau \rightarrow \nu_\tau \pi^\pm + N \pi^0$ for OPAL (top) and a FCC LAr ECAL simulation (bottom)
 - need high granularity to separate close-by em showers
 - and only little material in front of the calorimeter

Reco Gen	$\pi^\pm \pi^0$	$\pi^\pm 2\pi^0$	$\pi^\pm 3\pi^0$
$\pi^\pm \pi^0$	82%	17%	1%
$\pi^\pm 2\pi^0$	26%	65%	9%
$\pi^\pm 3\pi^0$	8%	57%	35%

S.Menke, PhD-thesis

Reco Gen	π^\pm	$\pi^\pm \pi^0$	$\pi^\pm 2\pi^0$	$\pi^\pm 3\pi^0$	$\pi^\pm 4\pi^0$
π^\pm	95.6%	4.3%	0.1%	0	0
$\pi^\pm \pi^0$	3.7%	90.2%	5.9%	0.2%	0
$\pi^\pm 2\pi^0$	0.9%	12.8%	78.0%	8.1%	0.2%
$\pi^\pm 3\pi^0$	0.4%	3.7%	26.8%	59.7%	9.1%

K.Wandall-Christensen, Master-thesis

► CLD

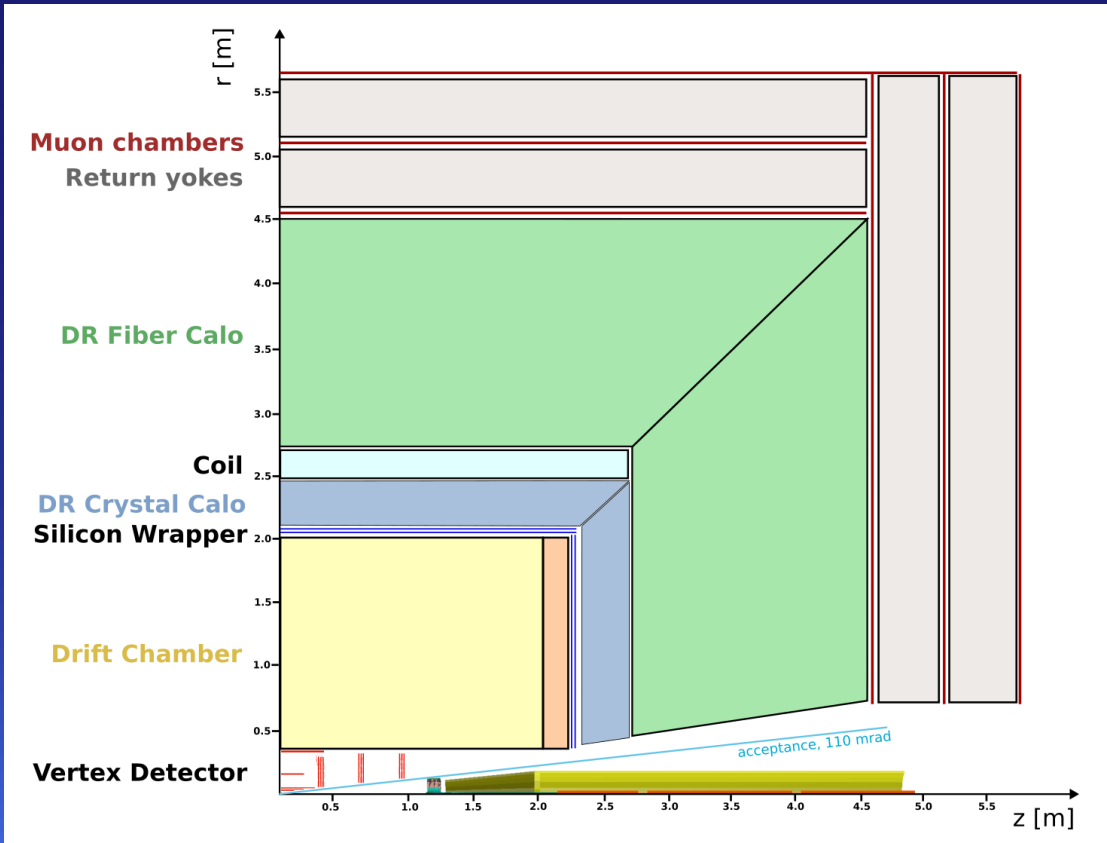
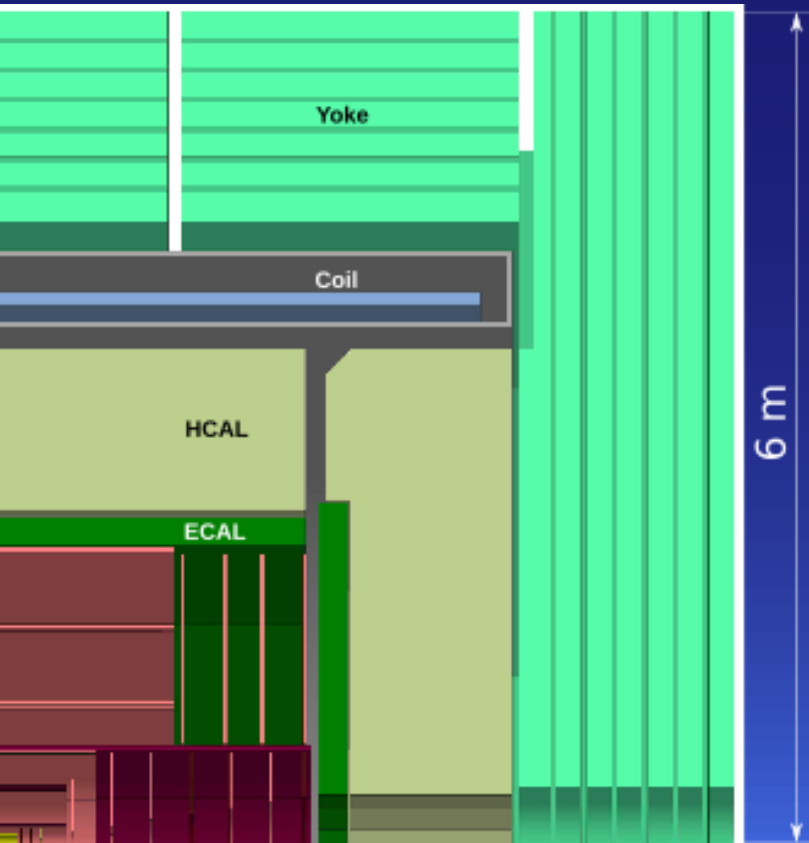
- [arXiv:1911.12230](#)
- based on CLIC detector design
- Full Si vertex detector and tracker
- coil outside calorimeters
- 3D-imaging high-granular calorimeter

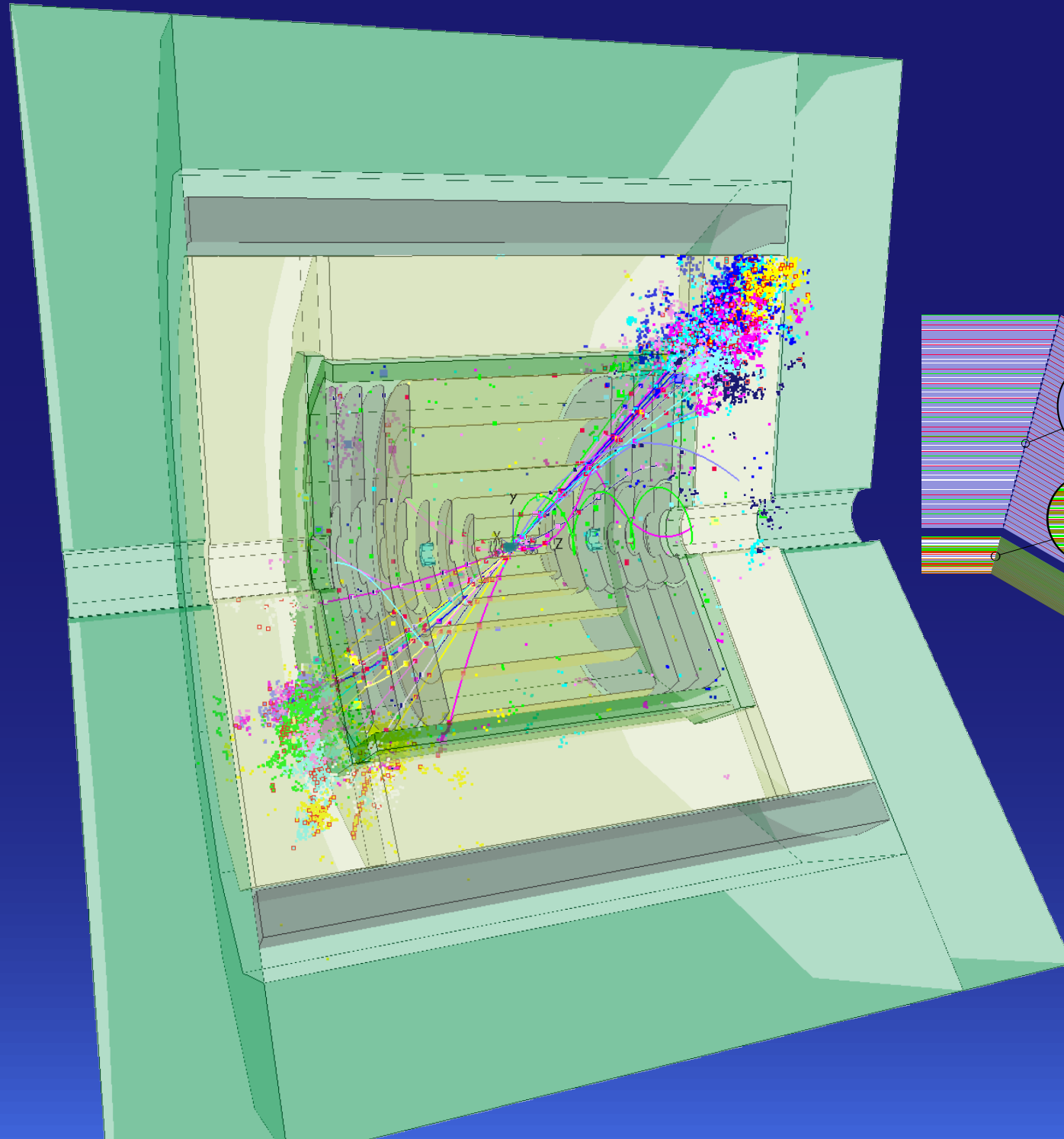
► IDEA

- [arXiv:2502.21223](#)
- Si vertex detector
- lightweight drift chamber with Si wrapper
- Dual-readout 2-layer EM crystal calorimeter in front of coil
- Dual-readout fibre-metal HAD sampling calorimeter

► ALLEGRO

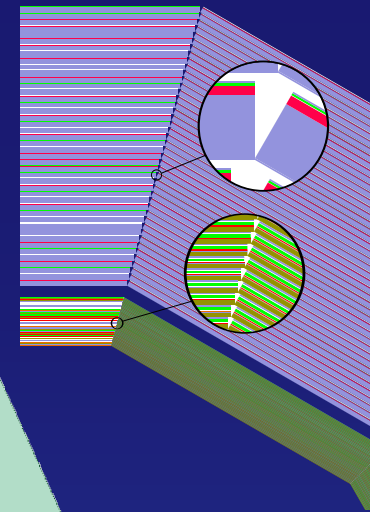
- [arXiv:2109.00391](#)
- adapted from FCC-hh concept
- IDEA-like tracker
- high granular liquefied noble gas EM sampling calorimeter inside solenoid
- metal-scintillator tile HAD calorimeter





► HCAL

- 44 sampling layers of 26.5 mm with 19 mm Fe-absorbers and 3 mm scintillator-readout ($\simeq 5.5 \lambda$)
- transverse granularity $30 \times 30 \text{ mm}^2$ (4×10^6 barrel + 5.2×10^6 endcap channels)



► ECAL

- 40 sampling layers of 5.05 mm with 1.9 mm W-absorbers and $500 \mu\text{m}$ Si-readout ($\simeq 22.5 X_0$)
- transverse granularity $5 \times 5 \text{ mm}^2$ (99×10^6 barrel + 59×10^6 endcap channels)

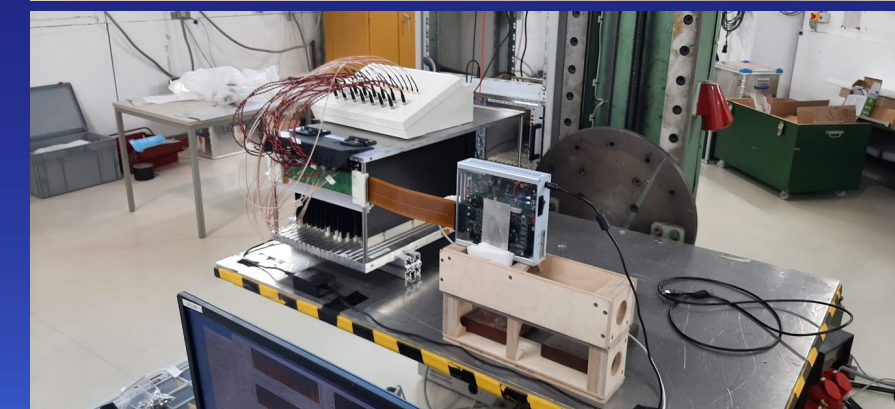
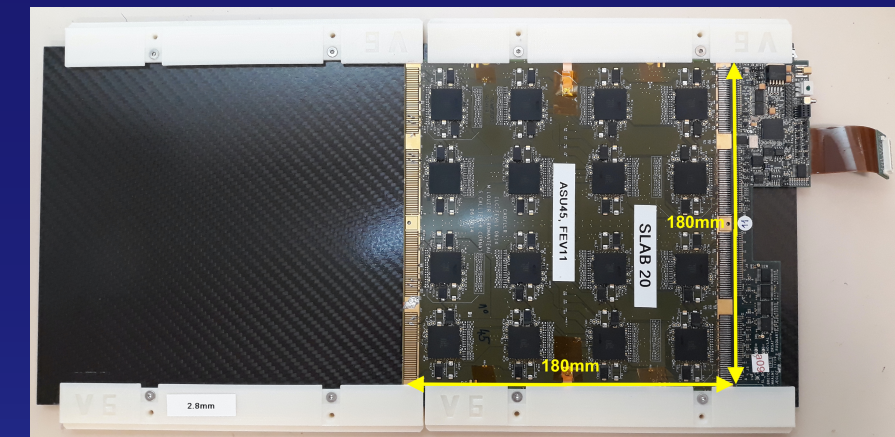
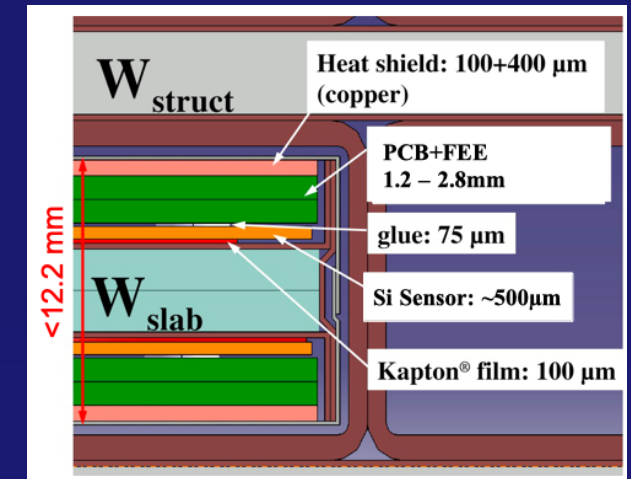
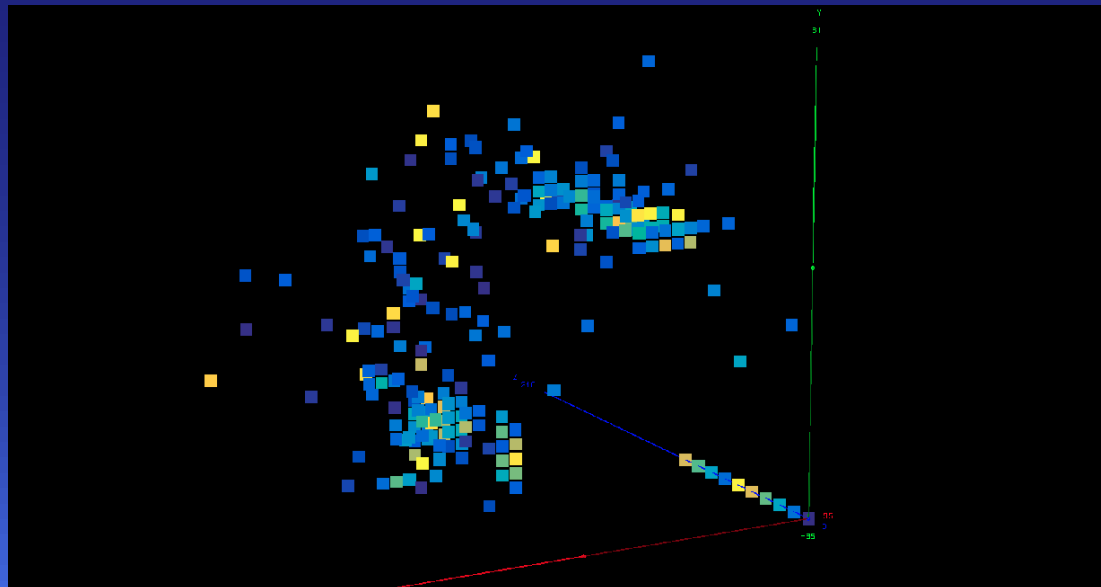
► CALICE SiW ECAL: a prototype for the CLD ECAL

- The CALICE collaboration tested several high granular absorber/readout options – mainly for future linear e^+e^- colliders
- a 15 layer SiW prototype with 15360 cells
- active electronics (SKIROC2a) with 64 channels, variable gain amplification, 12-bit ADC and 15-signal deep Switched Capacitor Array
- on-chip zero suppression: only signals above a threshold are sent to the ADC
- 1.5 mW per channel in continuous mode

► Challenges

- uniformity: need calibration/monitoring of $O(100\text{ M})$ channels
- power: without pulsed operation need cooling for the electronics $O(150\text{ kW})$ for 100 M channels

► Excellent shower separation demonstrated

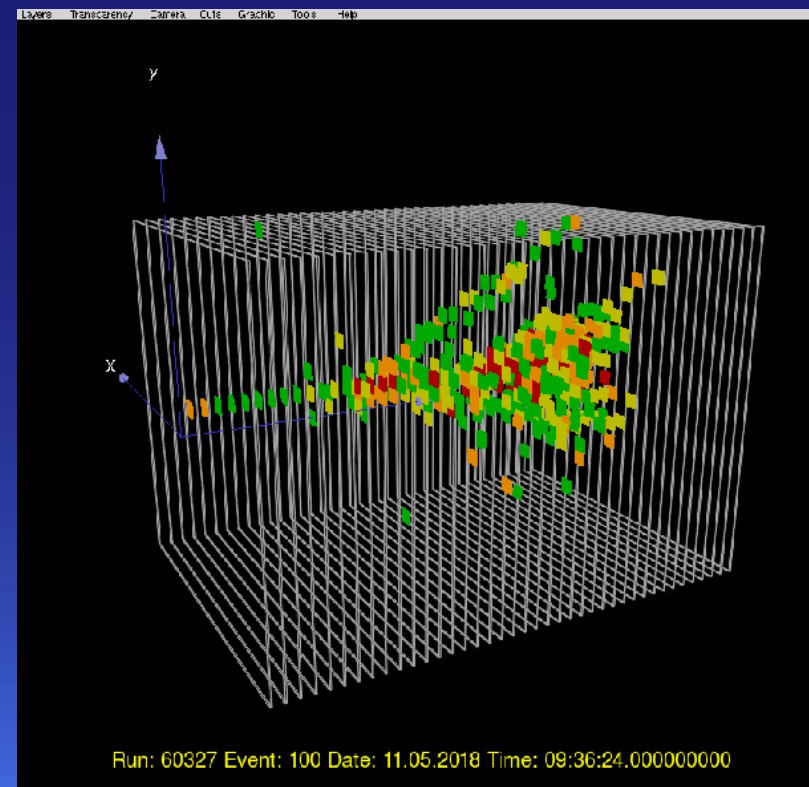
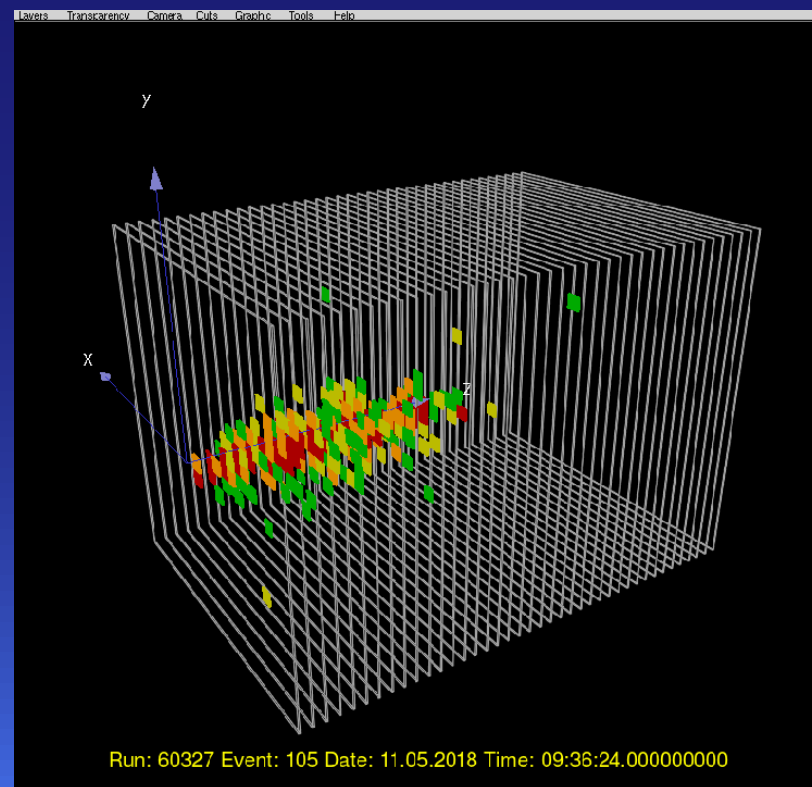
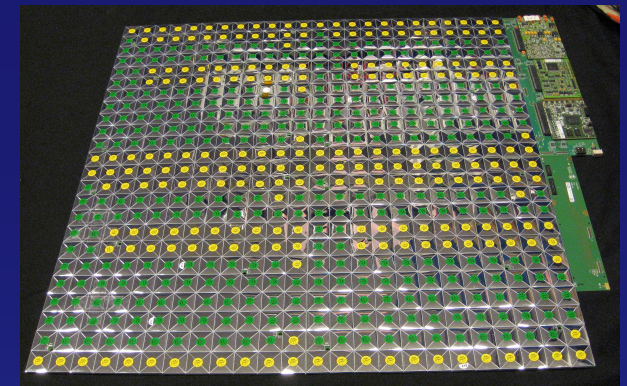
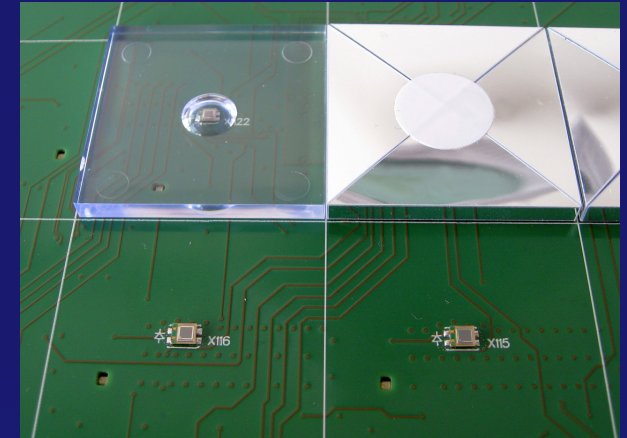


► CALICE AHCAL: a SiPM-on-tile calorimeter prototype

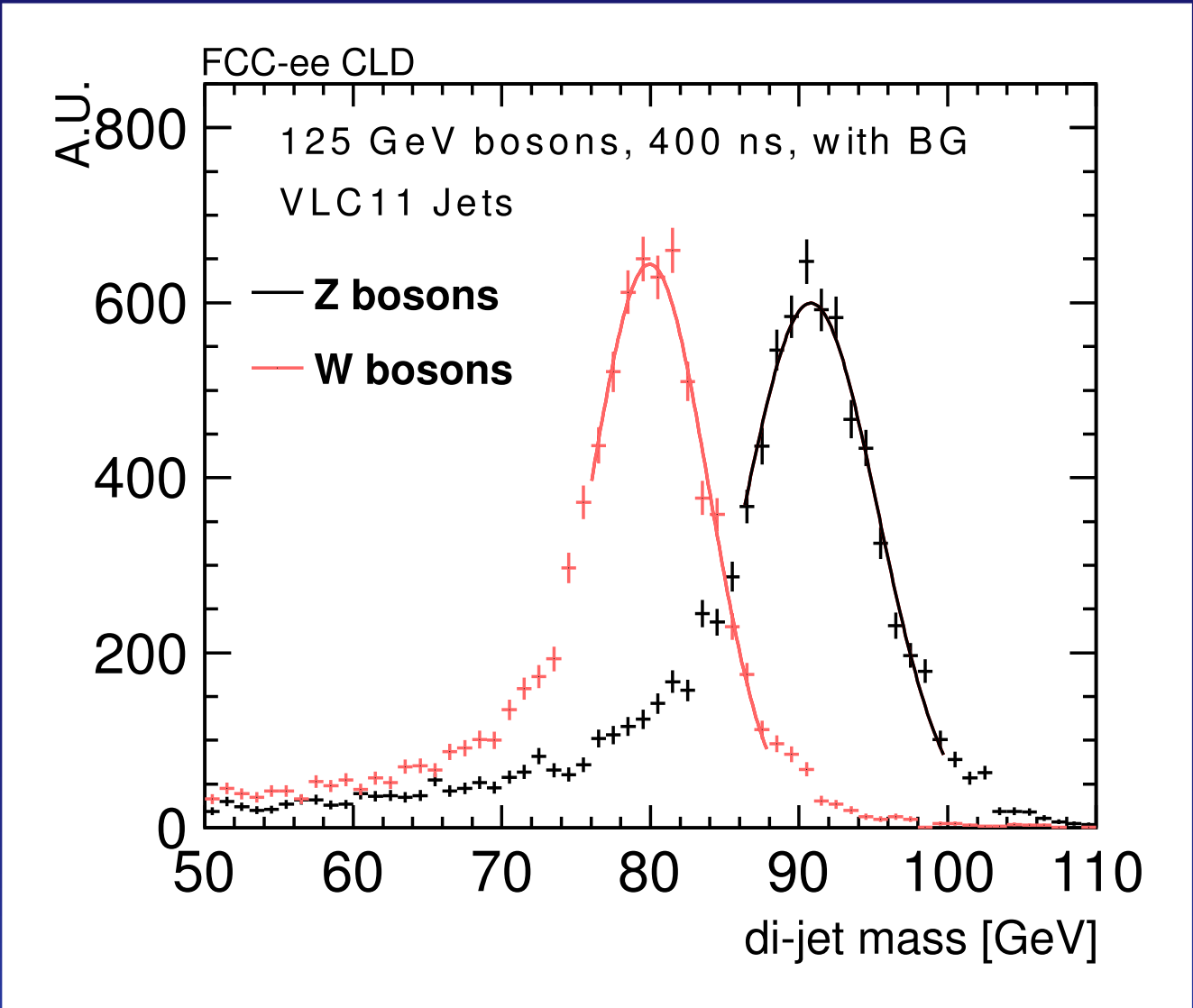
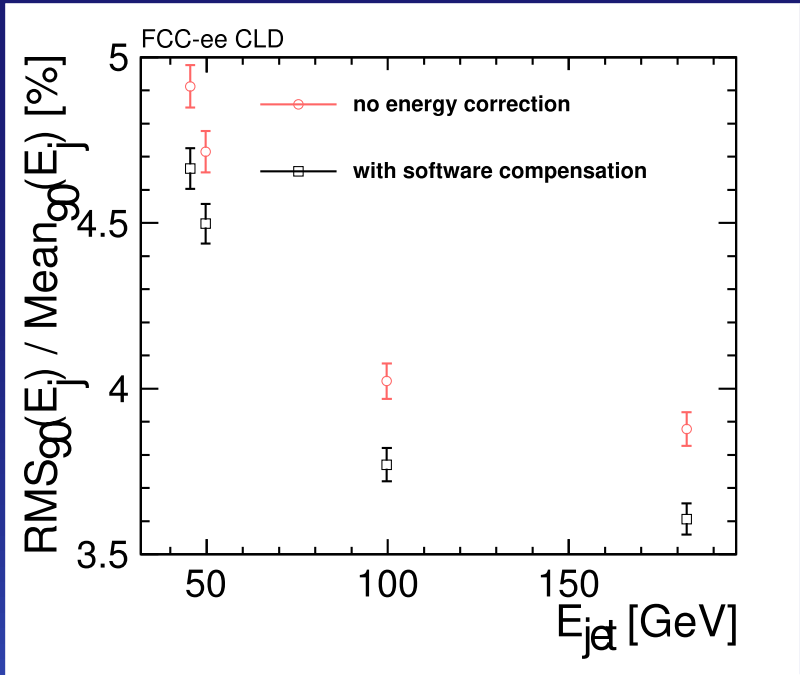
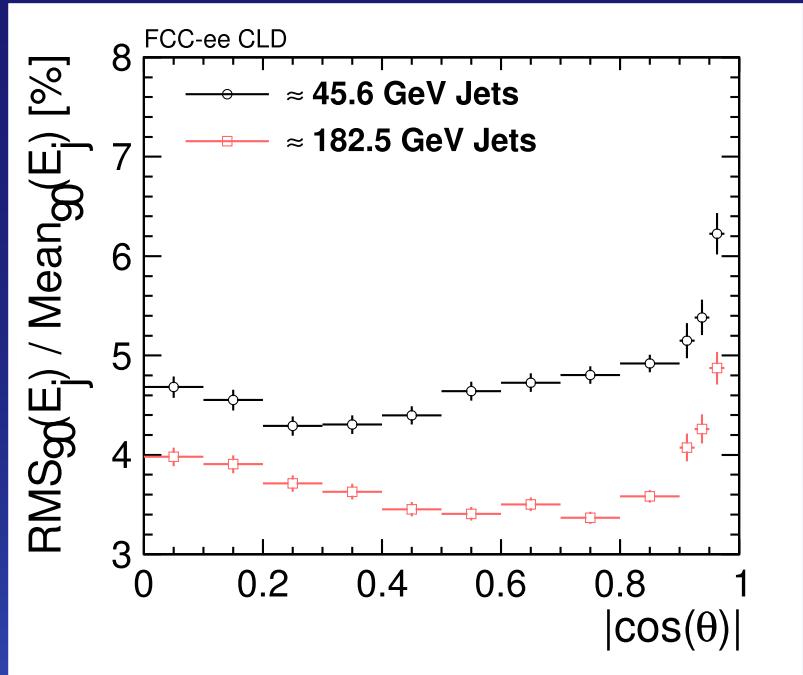
- 38 active layers of $36 \times 36 \text{ cm}^2$ holding 144 $3 \times 3 \text{ cm}^2$ SiPMs controlled by 4 SPIROC2E ASICs
- 21888 channels – again in pulsed-power operation mode (likely needs adjustment for FCC-ee)
- Hamamatsu MPPC S13360-1325PE photon sensors in the centre dimple of polystyrene scintillator tiles
- self-triggering

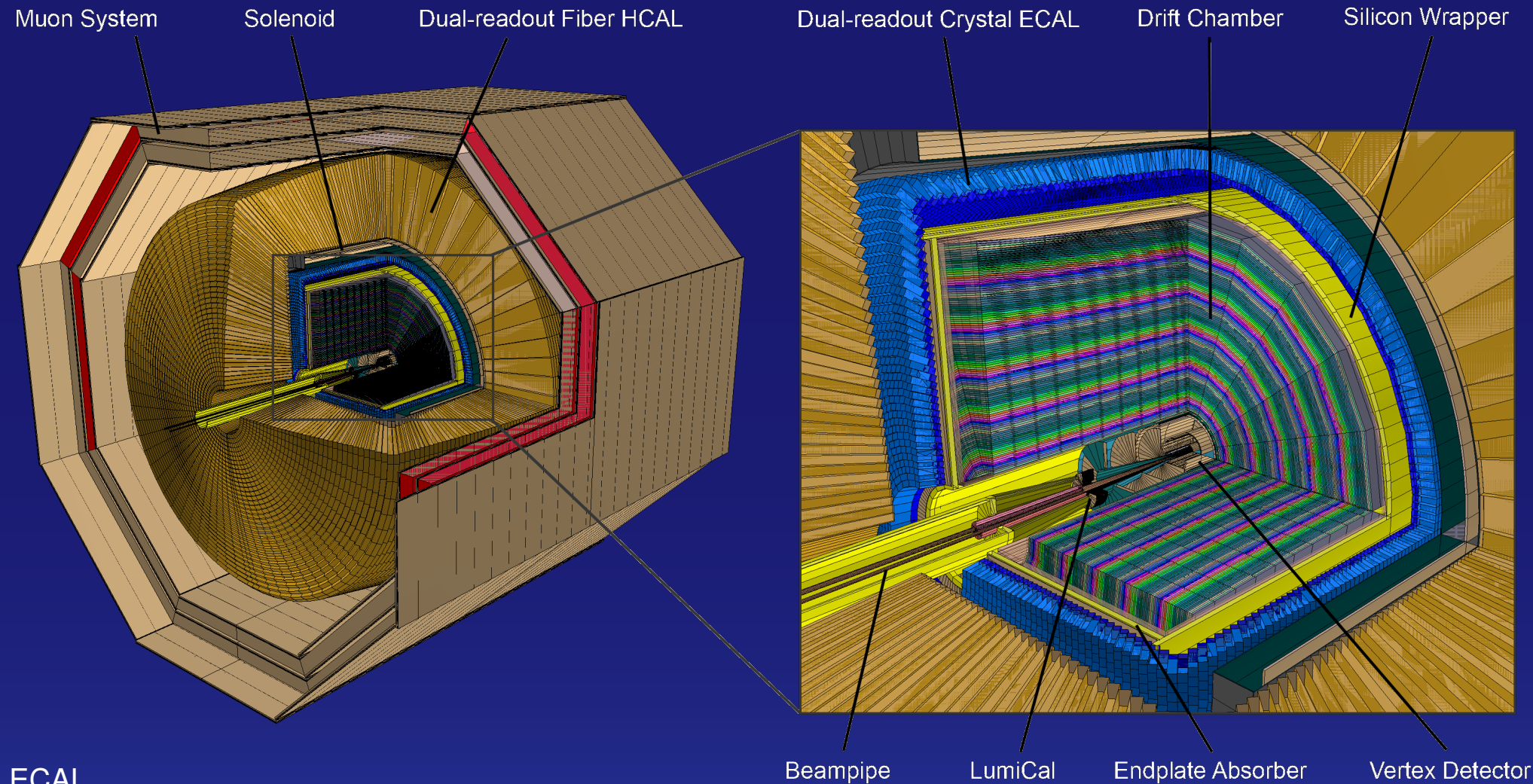
► em/had separation

- is key for any **ParticleFlow** algorithm
- electron shower (left) is compact with high energy density
- hadron shower (right) has larger spread and low energy density



- Jet energy resolution for CLD using **PandoraPFA** (see e.g. doi:10.1016/j.nima.2012.10.038)
 - up to 4.5% resolution for hadronic jets at the Z-pole
 - software compensation (since $e/h > 1$) improves resolution by 5 - 7.5%
- W / Z separation
 - 125 GeV boson energy with incoherent pair-background at 365 GeV
 - 2.5σ separation



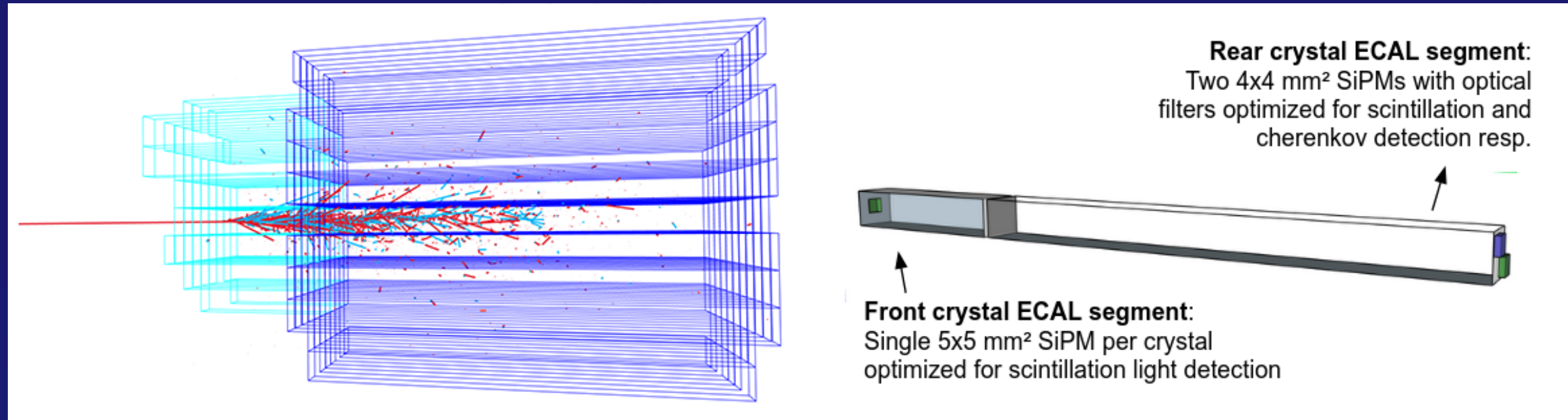


► ECAL

- 2 layer crystal ECAL
- $10 \times 10 \text{ mm}^2$, $6X_0$ (front, E1) and $16X_0$ (back, E2) with 1 SiPM per crystal in E1 and 2 SiPM per crystal in E2 (dual readout)
- 1.36×10^6 (barrel) and 0.25×10^6 (endcap) crystals

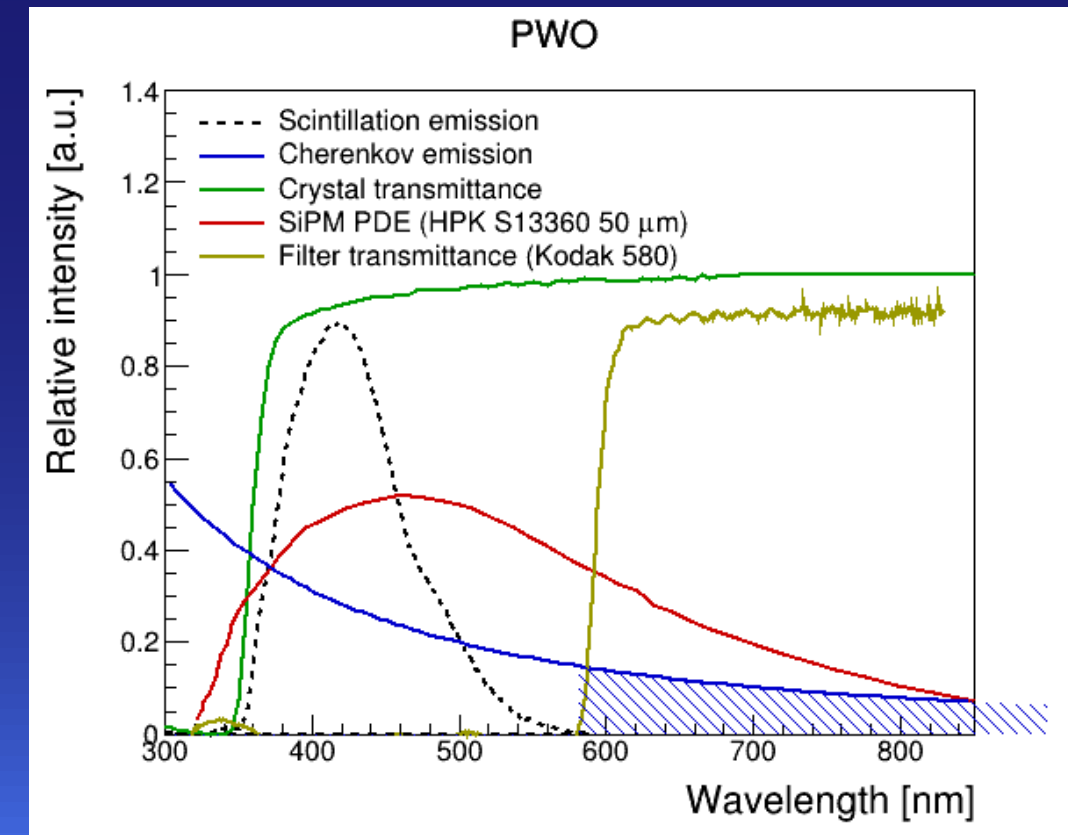
► HCAL

- single layer dual readout fibre in metal sampling calorimeter
- 60×10^6 (barrel) plus 20×10^6 (endcaps) fibres each with one SiPM – 8 SiPM feed one readout channel
- longitudinal info possibly by timing



► Dual readout crystal ECAL with 2 longitudinal layers

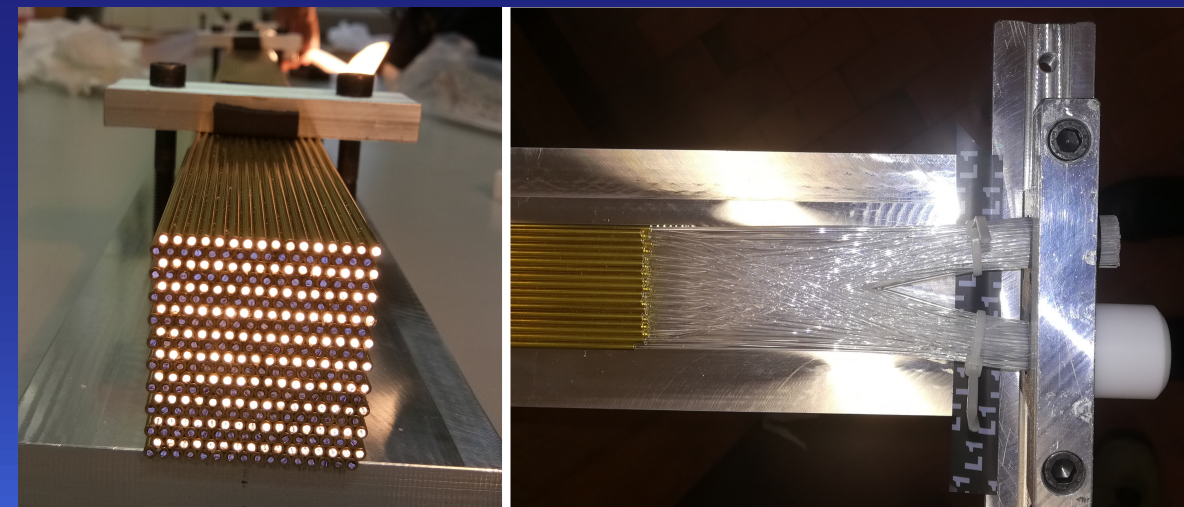
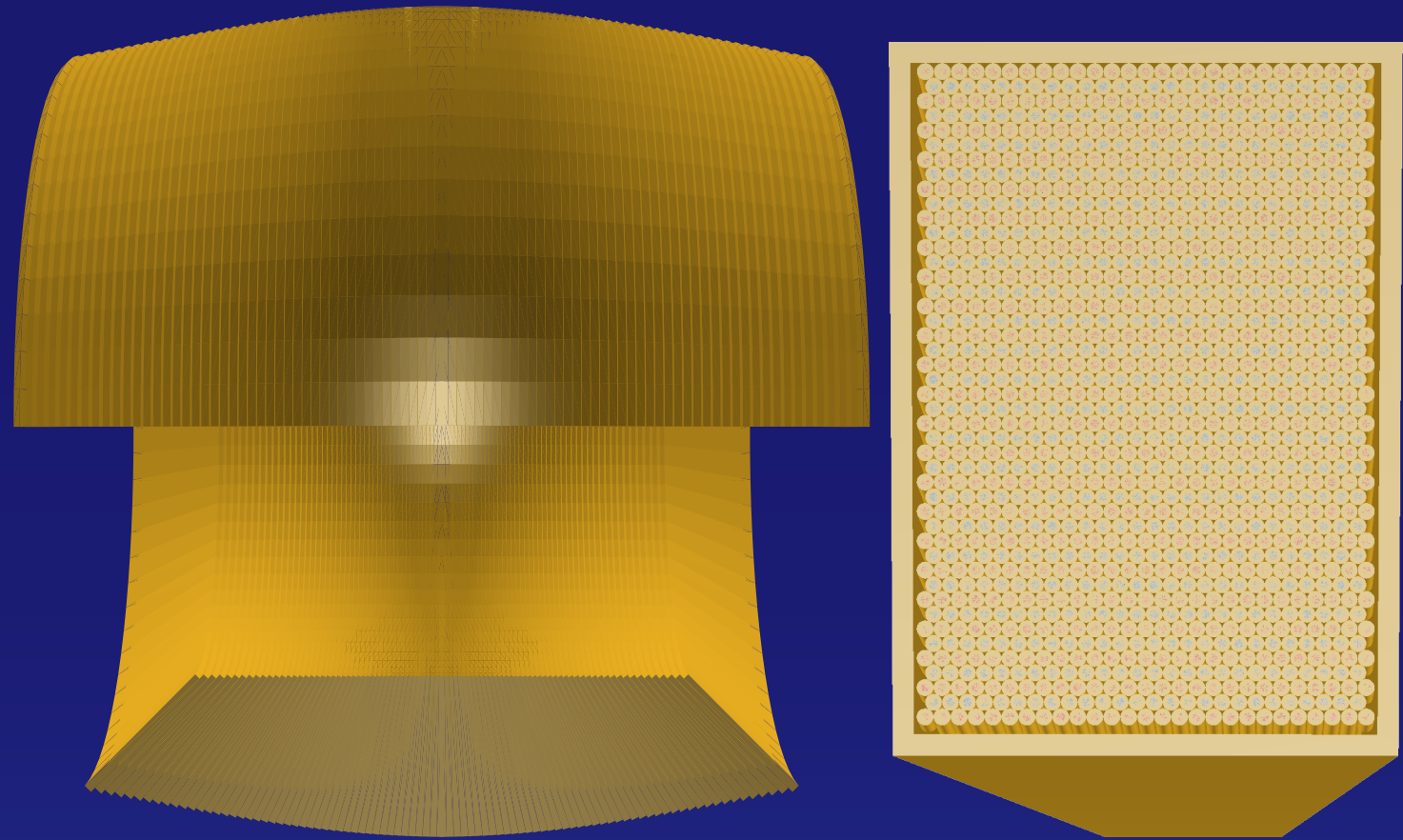
- scintillation light (**S**) is created by both, relativistic (e^\pm) and non-relativistic (hadronic) particles
- Čerenkov light (**C**) is created by relativistic (e^\pm) particles only
- single front SiPM collects mainly **S** (negligible amount of early showering hadrons)
- 2 rear SiPM collect either Čerenkov light only (optical filter blocks scintillation light) or both **S+C**
- allows to measure fluctuating em-component in hadronic showers ► to make $e/h = 1$



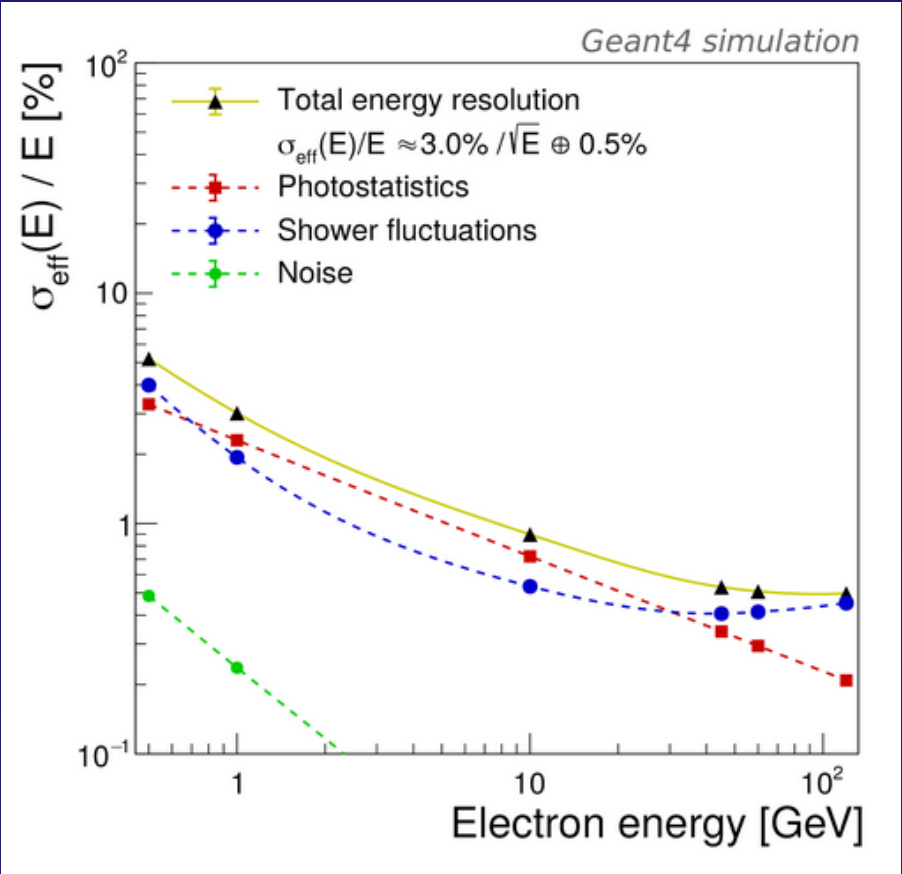
► Dual readout fibre HCAL

- originally the only calorimeter for IDEA
- decades of R&D by the DREAM collaboration (<http://www.phys.ttu.edu/dream>)
- dual readout by alternating **S** and **C** 1 mm diameter fibres with max. light yield in blue (**S**) or red (**C**)
- tube metal could be brass or steel
- **S** or **C** optimised individual SiPM's for each fibre
- 8 SiPMs combined to one readout channel
- allows measuring the em-component in hadronic showers
 - to make $e/h = 1$

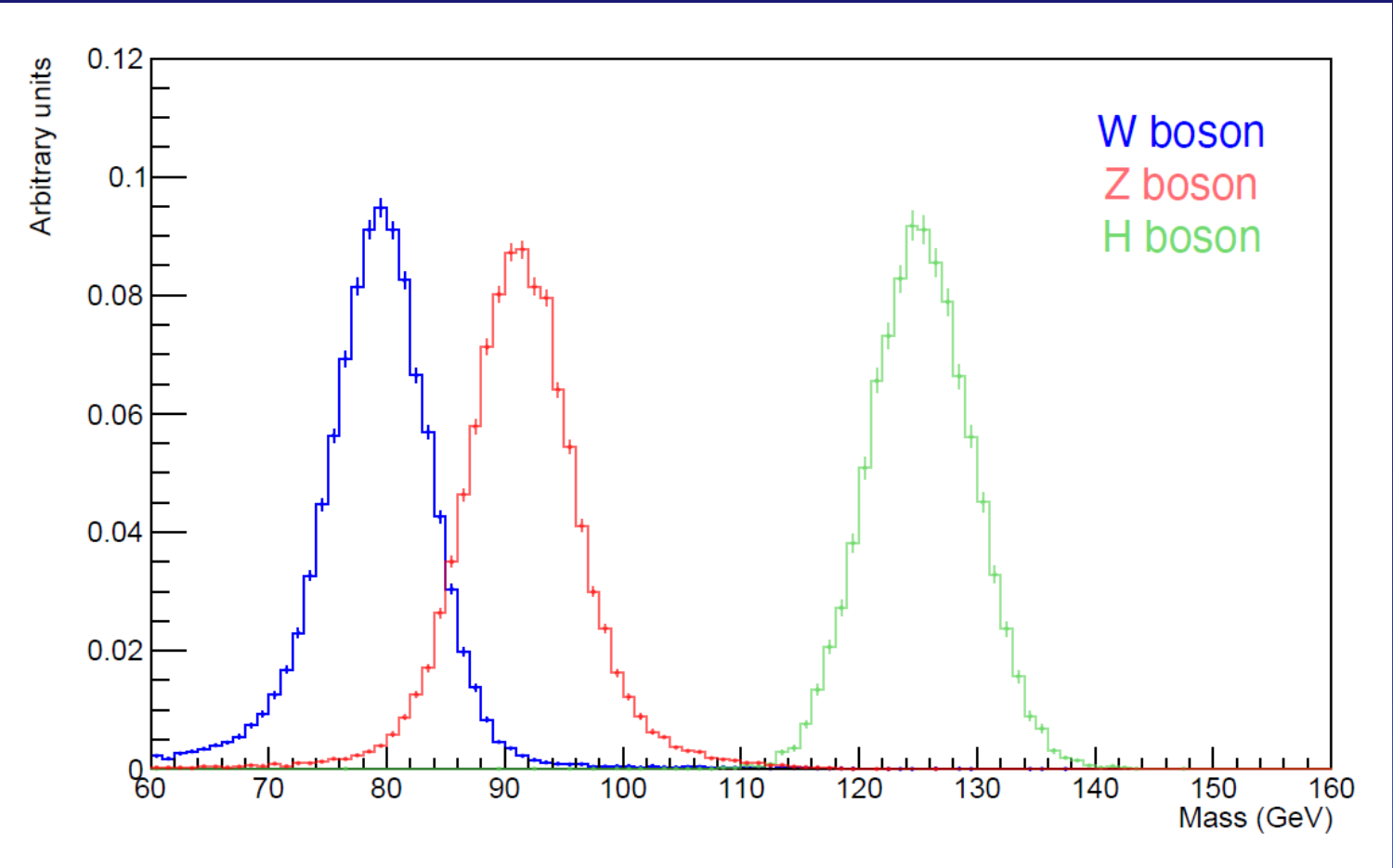
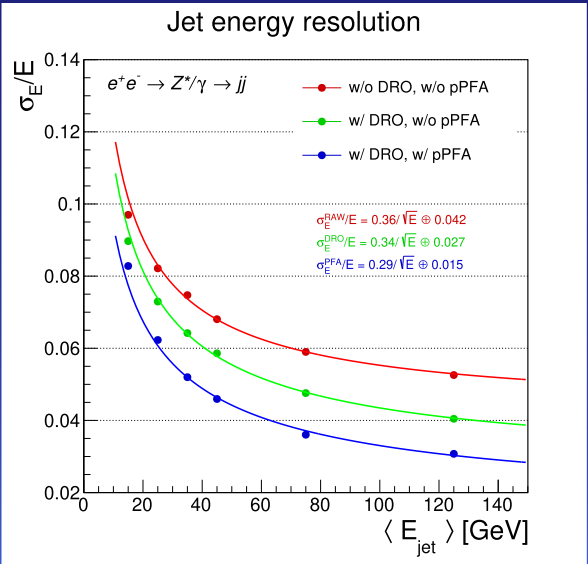
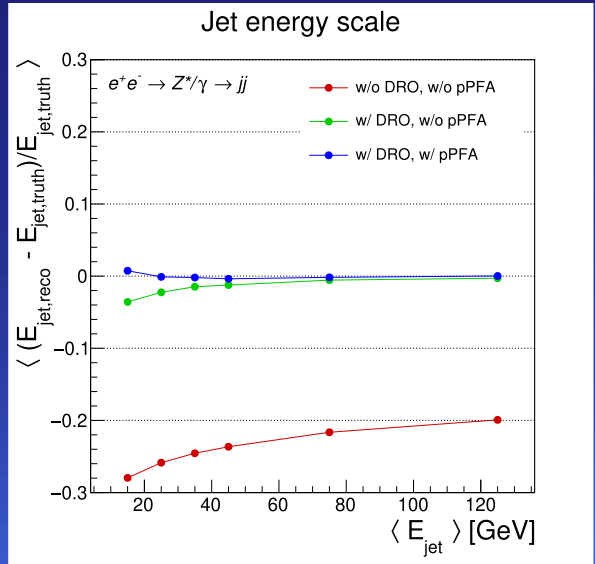
► Images below show copper prototype with PM readout

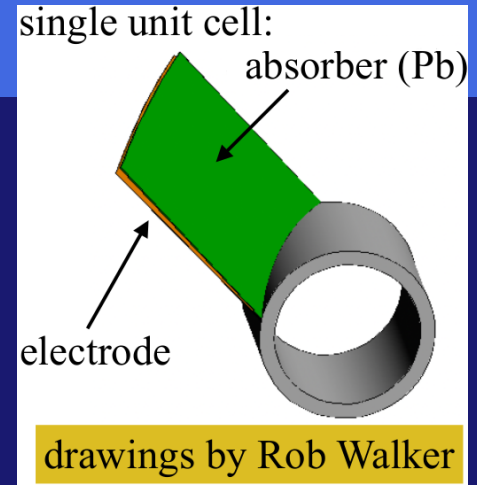
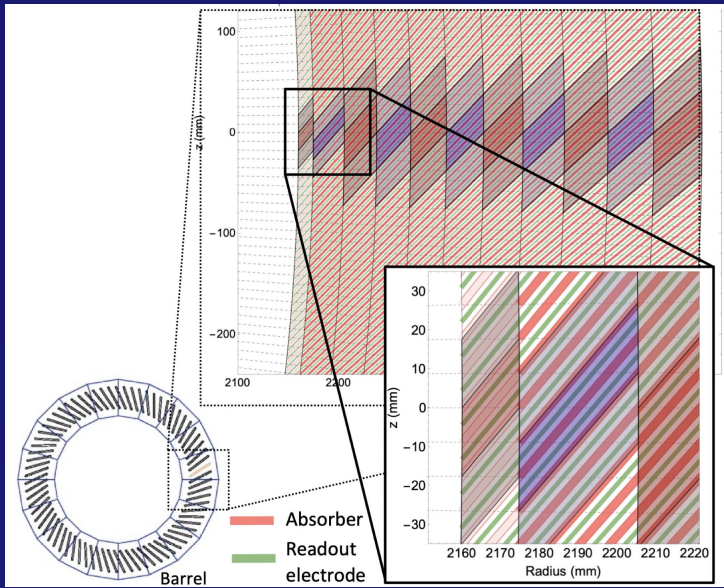
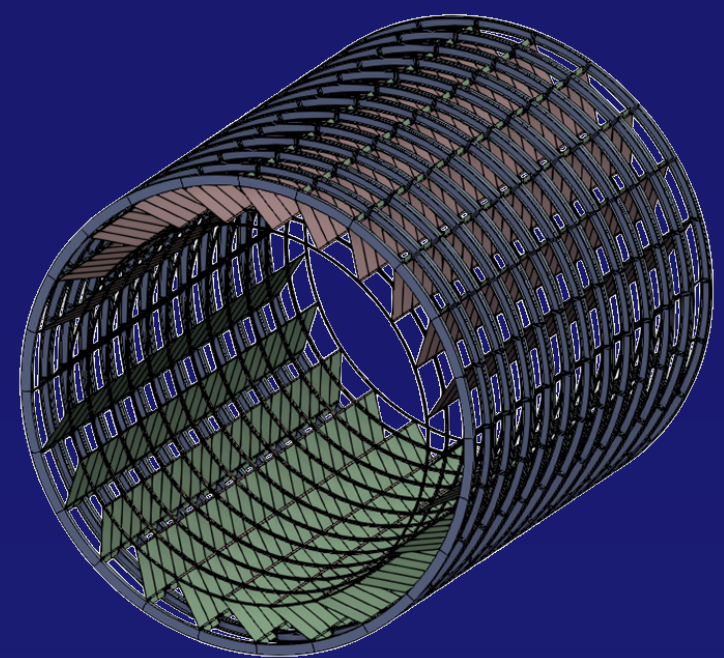


doi:10.1088/1748-0221/17/09/T09007



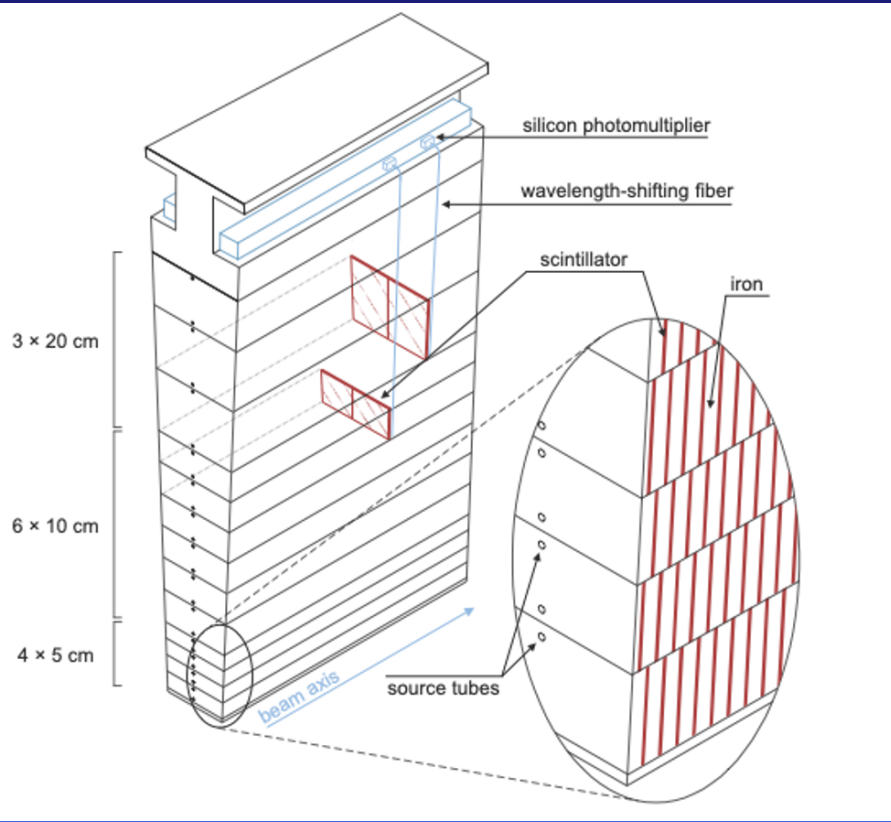
- ECAL performance
 - able to reach $\sigma_{\text{eff}}(E) / E \simeq 3.0\% / \sqrt{E} \oplus 0.2\%$
- Combined ECAL+HCAL+ParticleFlow performance
 - linearity and resolution for **s**-signal only (red), dual readout (**s** & **c**) (green) and dual readout with ParticleFlow (blue): $\sigma_{\text{eff}}(E) / E \simeq 29.0\% / \sqrt{E} \oplus 1.5\%$
 - separation of W/Z-bosons better than 2.5σ





► ECAL

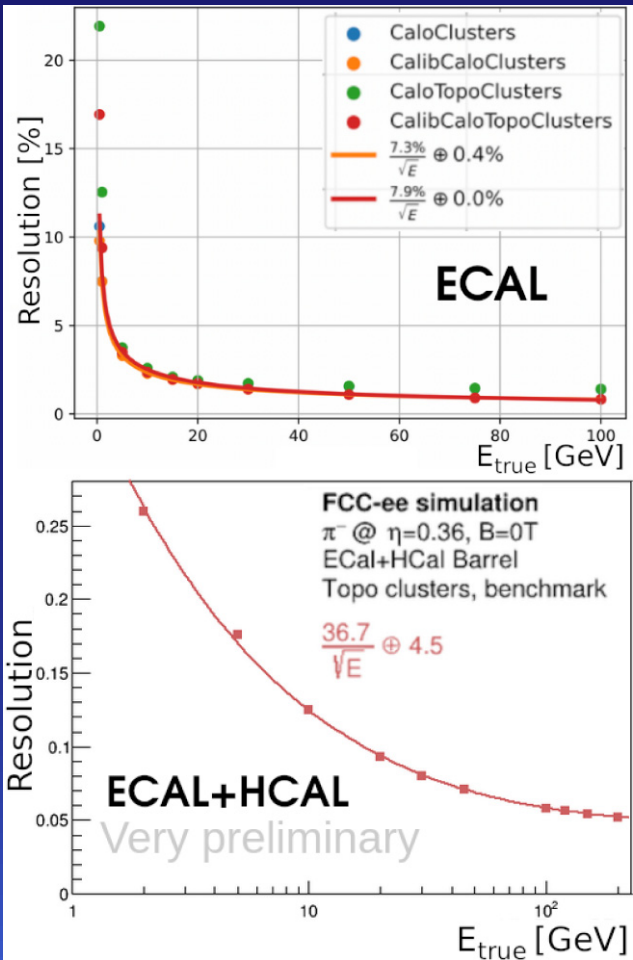
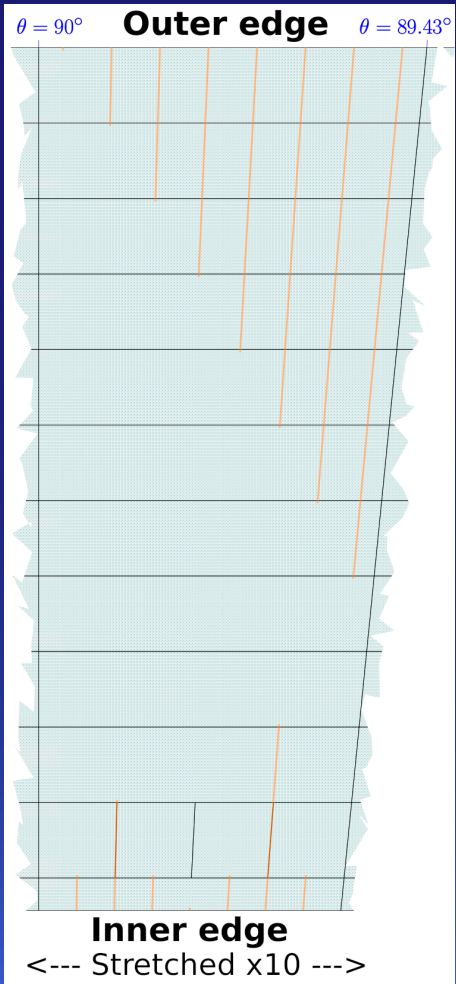
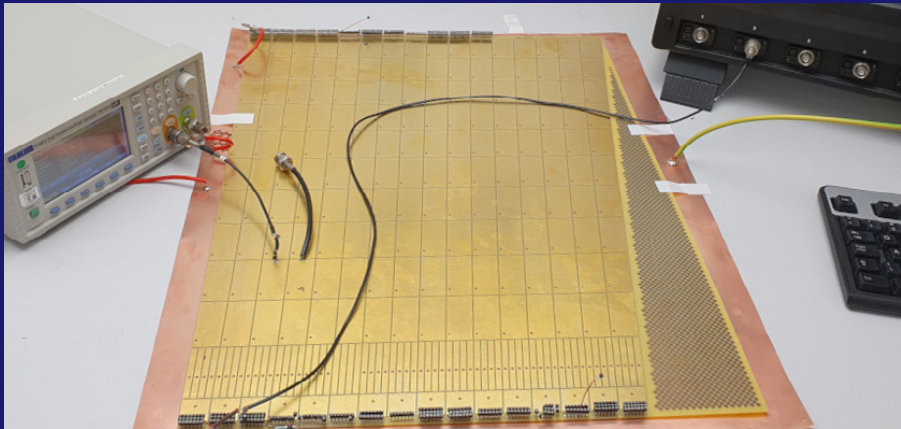
- barrel (top left):
 - 1536 1.8 mm Pb plus 2×0.1 mm steel absorbers with 1.2 mm PCB spaced in 1.2 mm distance
 - tilted by 50° around cylinder axis
 - LAr gaps of 2×1.2 mm to 2×2.4 mm
 - $22 X_0$, 11 longitudinal layers
 - $\Delta\phi = 8$ mrad, $\Delta\theta = 10$ mrad (2.5 mrad in strip cells)
 - $O(1.5 \times 10^6)$ readout channels
- endcap (top right):
 - "turbine"-design with absorbers rotated by 41° around r -axis
 - growing in thickness linear with r from initial 2.9 mm
 - PCB placed between adjacent absorbers
 - in three nested wheels with 144, 272 and 512 absorbers



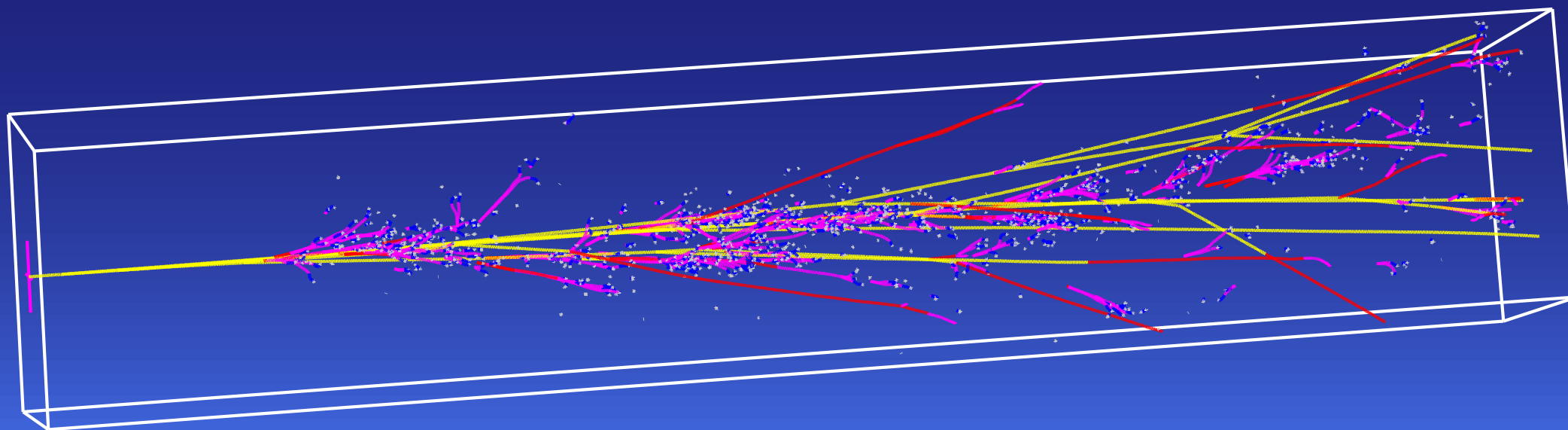
► HCAL (bottom left)

- current baseline is a steel-scintillator tile calorimeter (similar to ATLAS' TileCal)

- **ECAL prototype**
 - $58 \times 48 \text{ cm}^2$ electrode prototype has been produced and tested
 - division in 12 layers; front layer for presampling; second layer with finer strips (π^0 / γ -separation)
 - and 16 θ towers of 0.56° each
 - 7 layer PCB to route all signals out at r_{out}
- need to balance cross-talk and noise with granularity
- challenge is the number of signal cables to route out of cryostat
 - consider cold readout electronics inside the LAr
 - limited space inside the cryostat is a challenge
- encouraging first full simulation results on ECAL and ECAL+HCAL resolution



- ▶ Do we still need to cluster and calibrate calorimeter signals in times of ParticleFlow?
 - in ParticleFlow we want to reconstruct the energy from the measured p_{\perp} in the tracker and the measured momentum direction θ, ϕ
 - the hadronic showers of these particles have an **unknown** em-fraction f_{em} – particle by particle and event by event!
 - the calorimetric response to hadrons is not constant! It varies with f_{em} – which is unknown
- ▶ $E_{\text{reco}} \sim f_{\text{em}} + (1 - f_{\text{em}}) / (e / h)$,
with e / h the intrinsic response ratio of electrons to hadrons, typically $e / h > 1$



- ▶ This means you need to measure f_{em} for each hadronic shower in order to properly reconstruct its energy
 - dual readout calorimeters can do that by means of the two components measured per cell (scintillation light (em+had) and Čerenkov light (em only))
 - for non-compensating calorimeters you need to measure f_{em} from the shower shape:
 - ▶ dense sub-showers indicate the em component
 - ▶ less dense shower regions the rest
 - to judge dense vs. non-dense you need to group the energy measurements together – i.e. form clusters
 - it is relative to the cluster's total reconstructed energy on some (uncalibrated) scale that dense and non-dense sub-shower parts are identified
 - based on that distinction the energy-scale can be calibrated and unified – shower by shower and event by event
- ▶ Clustering (for determining f_{em}) and calibration (to get a uniform energy scale) need to be done **before** any part of the shower is subtracted

Conclusions

- ▶ FCC-ee will host a new generation of fantastic calorimeters
 - with unprecedented granularity ($O(10^6)$ - $O(10^8)$ readout channels)
- ▶ depending on the technology chosen there are many challenges
- ▶ calorimeters need to be **linear**
 - individual measurements proportional to deposited energy
 - regular calibration and monitoring of individual channels with $Z \rightarrow \mu^+ \mu^-$ and $Z \rightarrow e^+ e^-$
 - shower clustering of individual energy measurements to compensate $e/h > 1$ (or dual readout)
 - minimal amount of material in front of the calorimeters
 - maximal containment of all (em and had) showers
- ▶ readout electronics need to cope with the desired granularity
 - cooling and cables provide extra (unwanted) material
 - readout electronics take up extra (limited) space
- ▶ aim for excellent resolution
 - ensure shower separation by transverse and longitudinal granularity
 - regular calibration and monitoring of individual channels with $Z \rightarrow \mu^+ \mu^-$ and $Z \rightarrow e^+ e^-$ to ensure uniformity
 - calibration prior to ripping apart showers by **ParticleFlow**
- ▶ optimisation of the FCC-ee detector concepts in full swing
 - full Geant4-based simulations and tests with prototypes